

Automata theory for strategies in games

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Infinite games

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- ▶ Why not consider games of infinite duration ?
- ▶ How do we define outcomes in such games ?
- ▶ This is not only possible, but this is how game theory began.

Games set theorists play

The classic two-person zero-sum infinite game of perfect information.

- ▶ The game is given by $A \subseteq \mathbb{N}^\omega$.
- ▶ Player I picks a natural number x_1 , II responds with $x_2 > x_1$, I now picks $x_3 > x_2$ and thus goes the game.
- ▶ If the resulting play is in A , player I wins, else she loses.

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- ▶ If the resulting play is in A , player I wins, else she loses.
- ▶ Does it make sense (unless you are a set theorist) to wait until ω to wait to see if you win ? Would you play such a game ?

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- ▶ **Case:** A is finite.
- ▶ **Case:** A is of the form X^ω where $X \subseteq \mathbb{N}^*$.
- ▶ Is every game **determined** ? (That is, one of the two players has a winning strategy ?)

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Theorem: There exists a game that is not determined.

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Undetermined game

Theorem: There exists a game that is not determined.

- ▶ We need to construct a set $A \subseteq \mathbb{N}^\omega$ such that neither of the players has a winning strategy.
- ▶ Fix a set A with properties to be defined soon.
- ▶ Assume player I has a winning strategy.
- ▶ We will show that player II can use **copycat** and have a winning strategy as well.
- ▶ But that's a contradiction.

The set A

A famous set $A \subseteq \mathbb{N}^\omega$ exists, with the following properties.

- ▶ It contains every **co-finite** subset of \mathbb{N} . ‘
- ▶ It is closed under intersections and supersets.
- ▶ $\emptyset \notin A$.
- ▶ For every $X \subseteq \mathbb{N}$, either $X \in A$ or $(\mathbb{N} - X) \in A$.

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Crucially, no finite set is in A .

Plays and outcomes

Let $\rho = x_1x_2x_3\dots$ be a play.

- ▶ Note that player I's choices are $x_1x_3x_5\dots$
- ▶ Define $G_\rho = (0, x_1] \cup (x_2, x_3] \cup (x_4, x_5] \cup \dots$
- ▶ I wins iff $G_\rho \in A$.

Copycat

Player II plays a parallel game with Player I, the latter plays the winning strategy in both.

- ▶ In both games, I plays x_1 first. In the first game, II responds with arbitrary x_2 , I responds with x_3 .

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- ▶ I wins both games, so we get two winning sets in A :

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- ▶ But then $G_1 \cap G_2 = (0, x_1]$, a finite set is in A , a contradiction.

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- ▶ How do we present such games ?
- ▶ The game arena is a **finite** graph.
- ▶ It can have **cycles** since finite state players can forget history of play.
- ▶ Outcomes can be given on the graph themselves.

Why bother ?

Are such infinite games only of mathematical interest ?

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- ▶ **Reactive systems**: browsers, operating systems, routers, ...
- ▶ Objectives are long range, eventually stable behaviour.
- ▶ Once again game theoretic considerations are relevant.

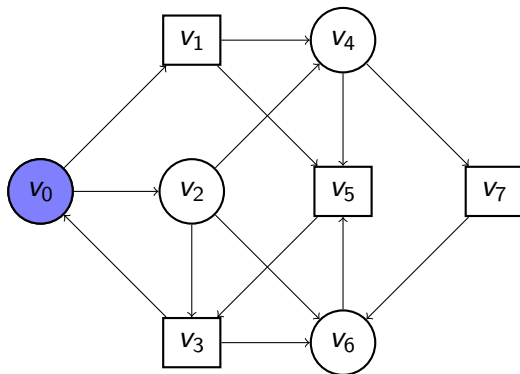
Infinite plays

Arenas and winning conditions

- ▶ **Game** $\mathcal{G} = (\mathcal{G}, \text{Win})$, where
 - ▶ **Arena** $\mathcal{G} = (V, E)$ such that $V = V_0 \cup V_1$ where
 - ▶ V_0 are player 0 vertices, denoted by \bigcirc and
 - ▶ V_1 are player 1 vertices, denoted by \square
 - ▶ $E \subseteq V \times V$ is the edge relation
 - ▶ $\text{Win} \subseteq V^\omega$ is the **winning condition**
 - ▶ $vE = \{u \mid (v, u) \in E\}$ are the **neighbours** of v
- ▶ (\mathcal{G}, v_0) is an **initialised game** where $v_0 \in V$ is a designated vertex
- ▶ We assume $vE \neq \emptyset$ for every $v \in V$

Infinite plays[2]

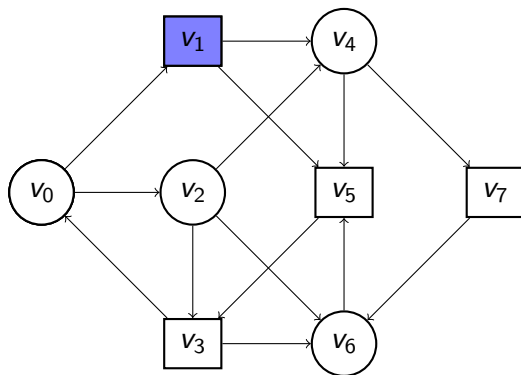
Plays



$$\rho = v_0$$

Infinite plays[2]

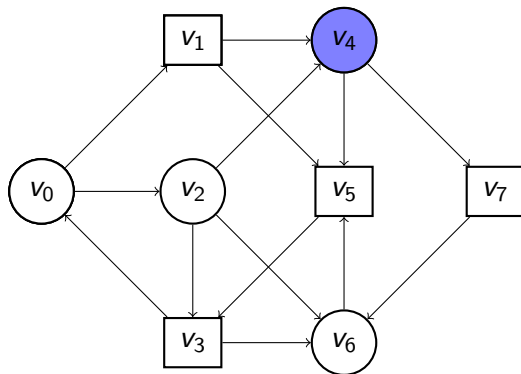
Plays



$$\rho = v_0 v_1$$

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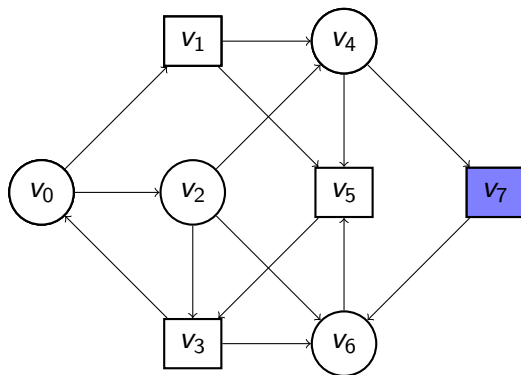
Plays



$$\rho = v_0 \ v_1 \ v_4$$

Infinite plays[2]

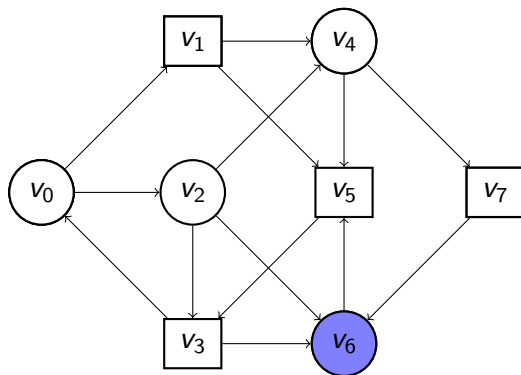
Plays



$$\rho = v_0 \ v_1 \ v_4 \ v_7$$

Infinite plays[2]

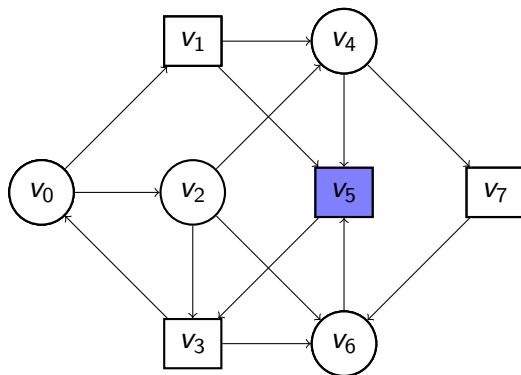
Plays



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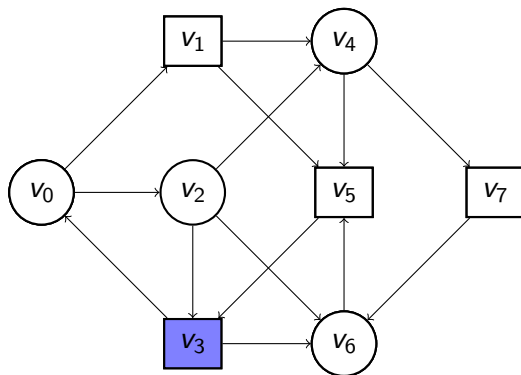
Plays



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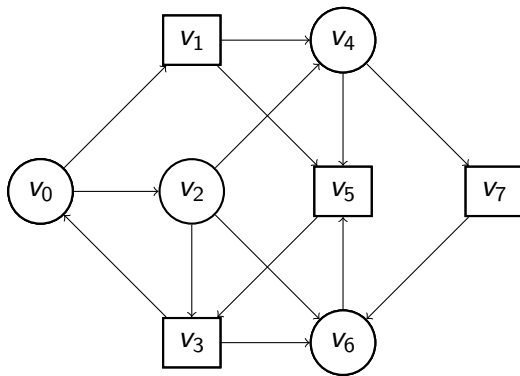
Plays



$$\rho = v_0 \ v_1 \ v_4 \ v_7 \ v_6 \ v_5 \ v_3$$

Infinite plays[2]

Plays



$$\rho = v_0 \ v_1 \ v_4 \ v_7 \ v_6 \ v_5 \ v_3 \cdots \in V^\omega$$

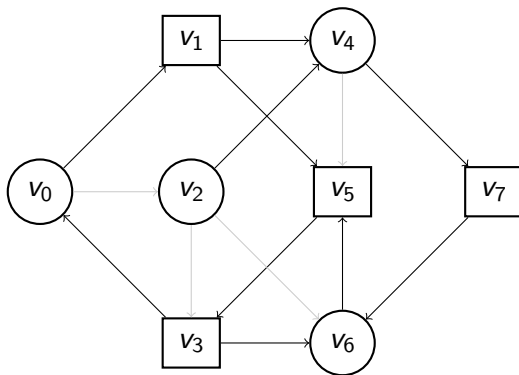
Strategies

Strategies

- ▶ A **history** ρ is any finite prefix of a play ρ
- ▶ A **strategy** $Strat$ for player p , $p \in \{0, 1\}$ is a function $Strat : V^* V_p \rightarrow V$ from the set of histories to vertices such that $Strat(\rho v) \in vE$ for all ρ
- ▶ A strategy $Strat$ is called **finite memory** or **bounded memory** or **forgetful** if it can be represented by a finite state machine
- ▶ A strategy $Strat$ for player p is **memoryless** or **positional** if it does not depend on the history. That is $Strat : V_p \rightarrow V$

Memoryless strategies

Memoryless strategy as a subgraph



Determinacy

Zero-sum or win-lose games and determinacy

- ▶ A play ρ is **winning** for player 0 and **losing** for player 1 if $\rho \in \text{Win}$. Otherwise it is winning for player 1 and losing for player 0
- ▶ A strategy Strat of player 0 is **winning** if and only if all plays played according to Strat are winning for her
- ▶ The **winning region** of player p , W_p is a subset of V such that for every vertex $v \in W_p$, player p has a winning strategy for the game (\mathcal{G}, v)
- ▶ A game \mathcal{G} is **determined** if $W_0 \cup W_1 = V$ and $W_0 \cap W_1 = \emptyset$

Theorem (Martin '75)

Every game where Win is a Borel set is determined.

Specifying outcomes and preferences

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Specifying outcomes and preferences

Even the set of finite plays can be infinite.

- ▶ For each play, we need to specify outcomes.
- ▶ For each player, we need to give an ordering over plays.
- ▶ For finite plays, simple **reachability** objectives suffice.
- ▶ Simply given by a subset of positions.

A logical syntax

An elegant solution to this is to use **propositions** to label game positions.

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- ▶ Then “winning” a reachability condition is simply given by a boolean formula.
- ▶ Ordering over outcomes can be given by implication.
- ▶ This also paves the way for strategy specifications.

Local strategies

How do resource limited players strategize ?

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- ▶ Watch another player's moves: when he plays a , respond with b .

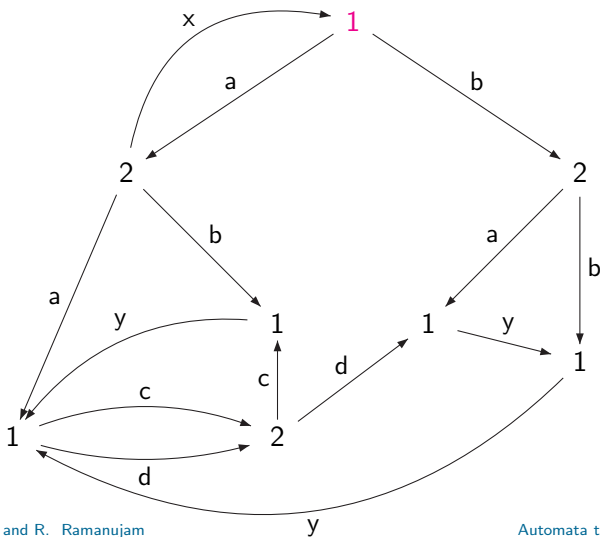
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- ▶ Record some observables during course of play; depending on what the record shows, play some move.
- ▶ Watch another player's moves: when he plays a , respond with b .
- ▶ Tit for tat; copycat; co-operate until defection, then punish, etc.

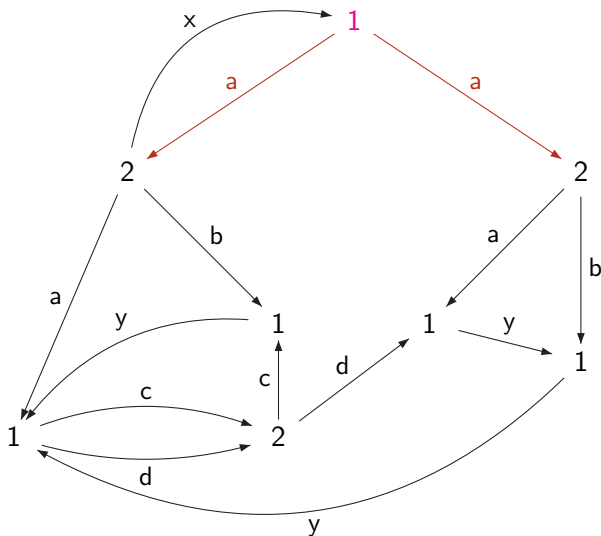
Game Arena

Nodes represents player positions. Edges represent player moves.



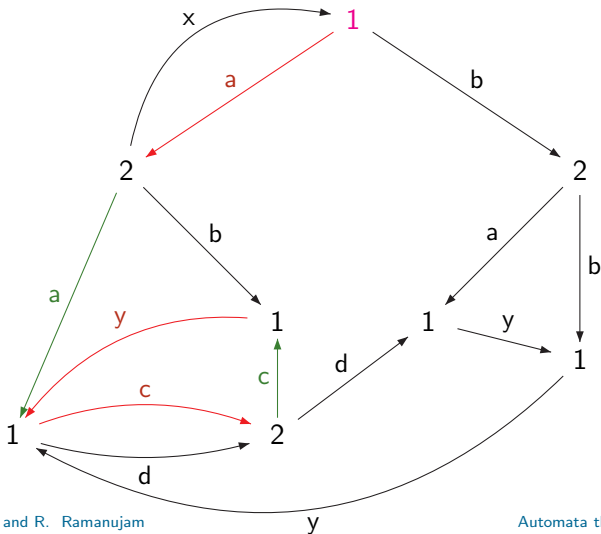
Game Arena

$\rightarrow: (Positions \times Actions) \rightarrow Positions.$



Play

A play is simply a path in the graph where at each node the players choose an action.



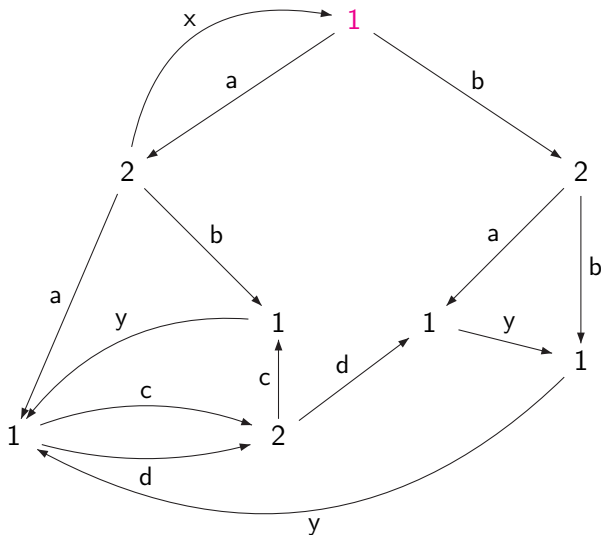
Strategy

Strategy for player 1 is a subgraph of the arena where:

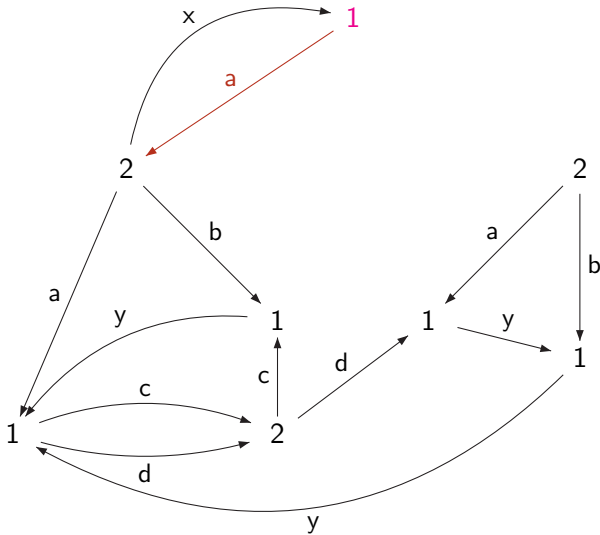
- ▶ For each player 1 node, there is a unique outgoing edge.
- ▶ For each player 2 node, every move is included.

Strategy

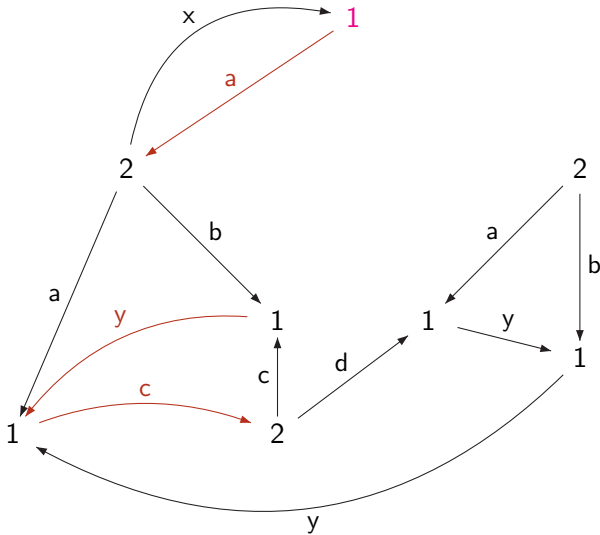
Player 1 strategy



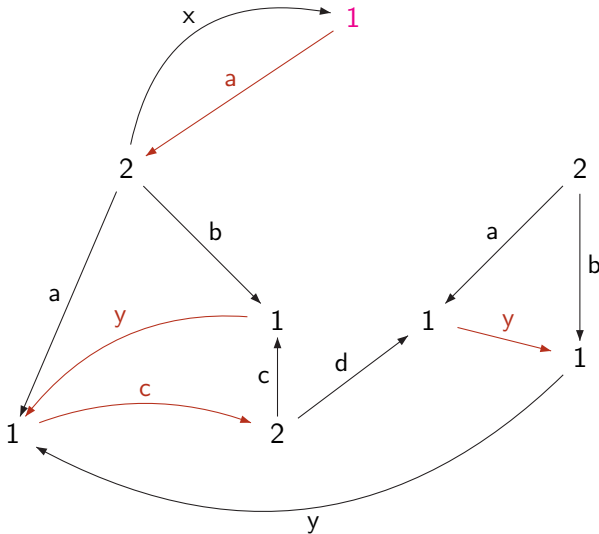
Strategy



Strategy

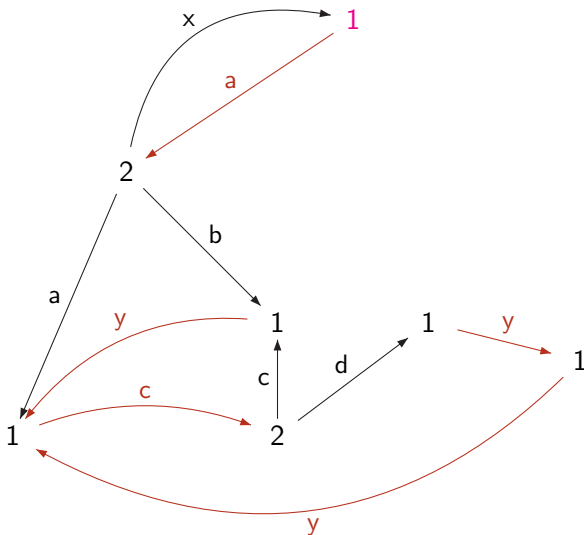


Strategy



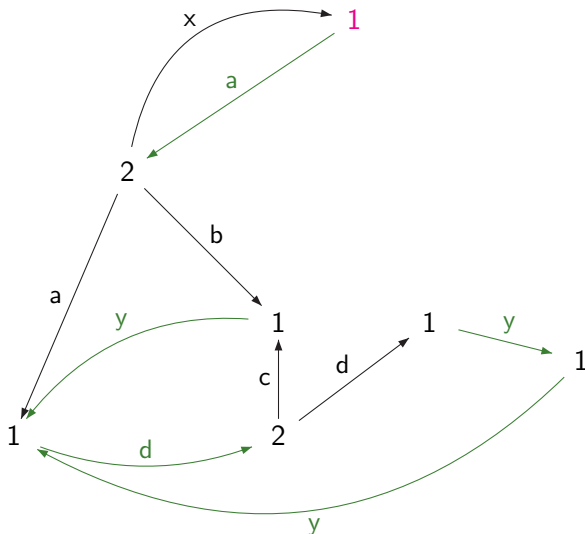
Strategy

Red strategy for player 1.



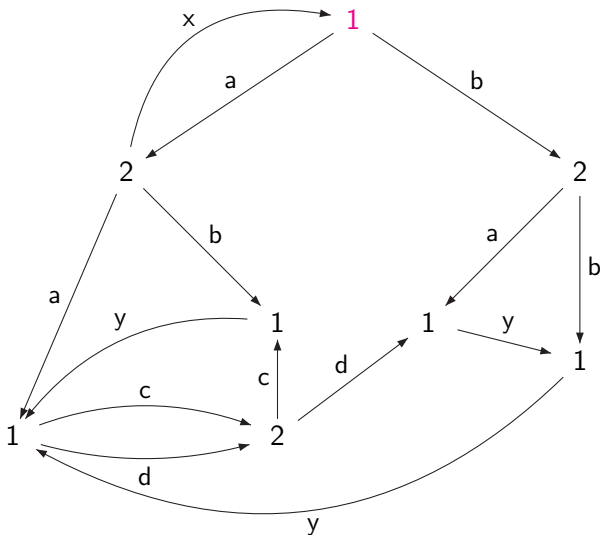
Strategy

Green strategy for player 1.



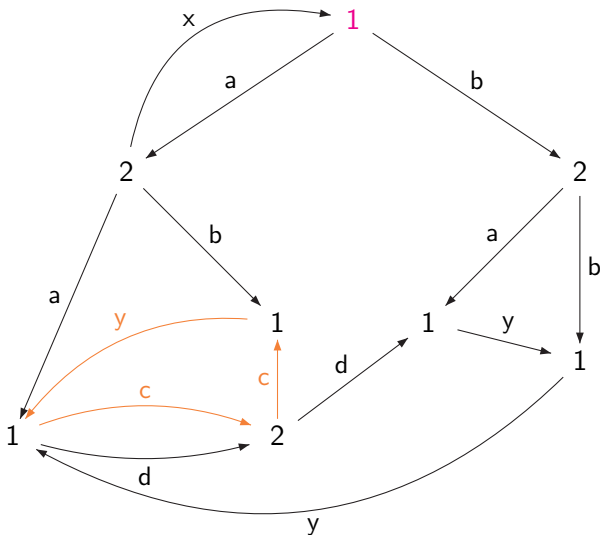
Objectives

Players have preferences over paths in the arena.



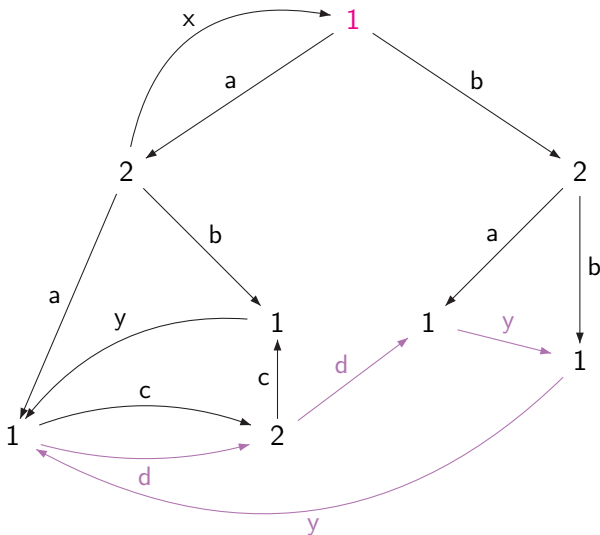
Objectives (player 2)

Path 1: Match a “c” move of player 1 with “c”.



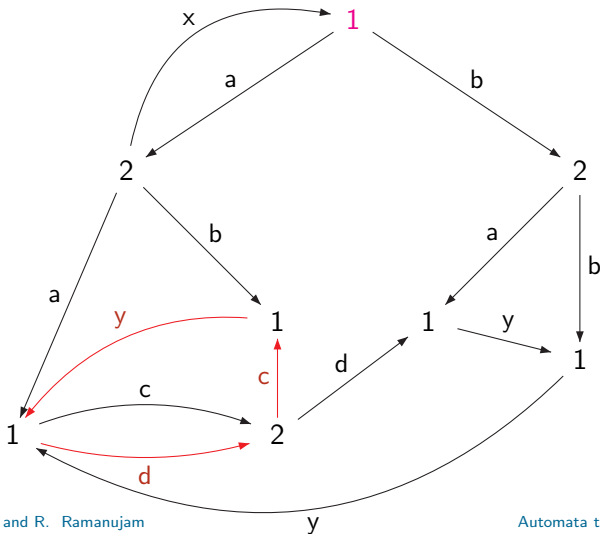
Objectives (player 2)

Path 2: Match a “d” move of player 1 with “d”.



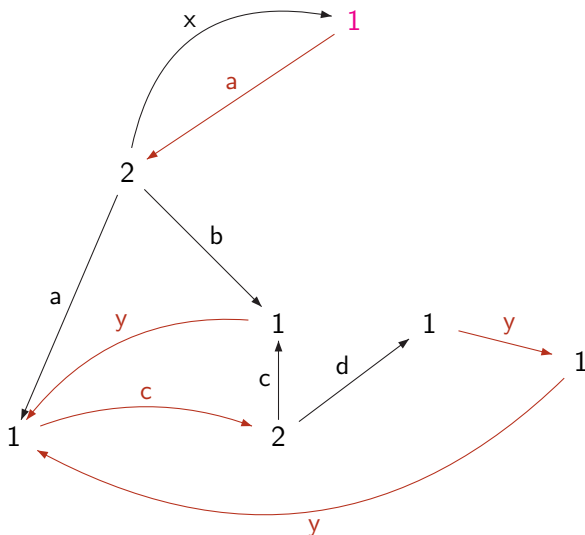
Objectives

Matching a “d” move of player 1 with “c” - least preferred by player 2.



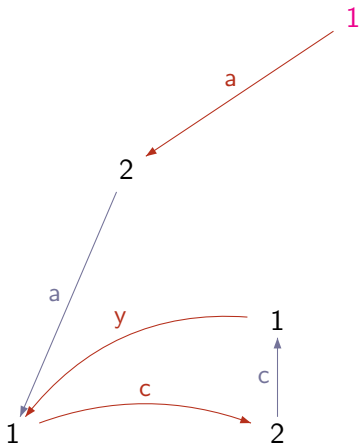
Response

Red strategy of player 1.



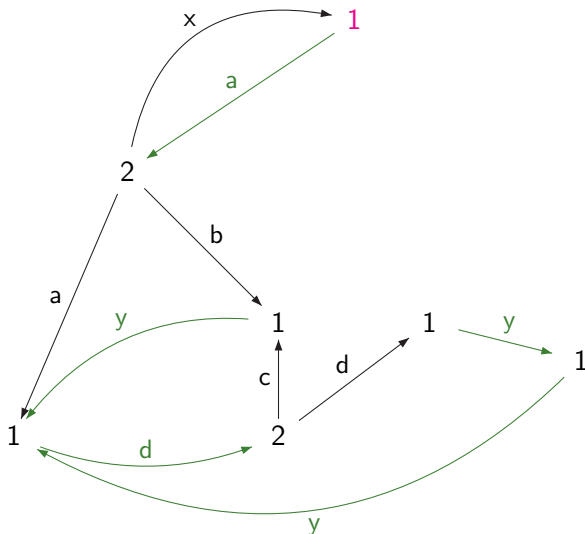
Response

Response of player 2 to the Red strategy achieves “path 1”.



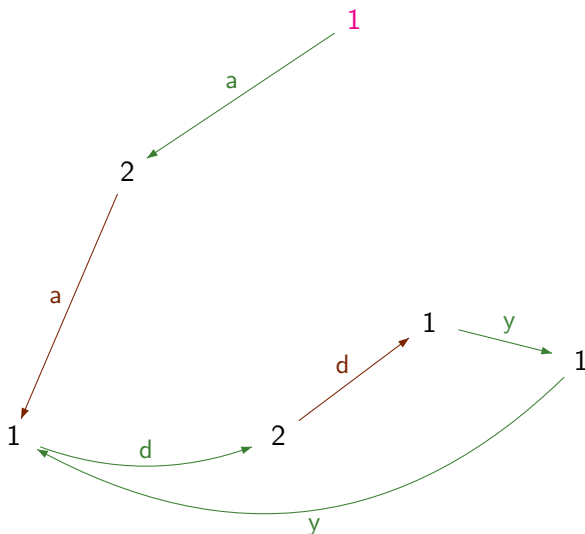
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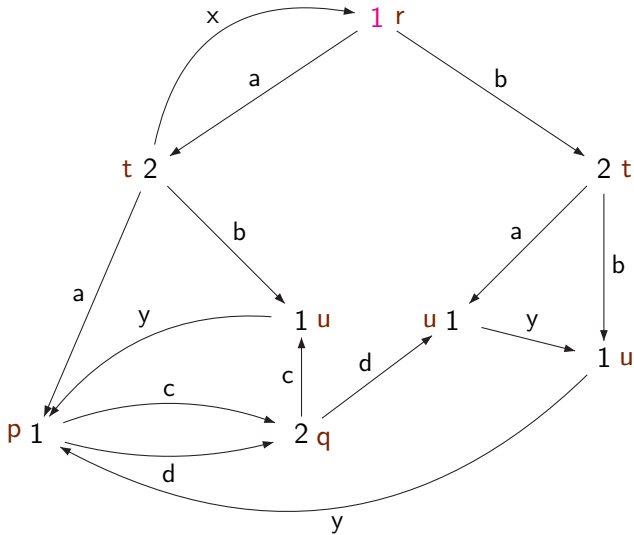


Response

Response of player 2 to the Green strategy achieves “path 2”.



Game Arena with Valuation



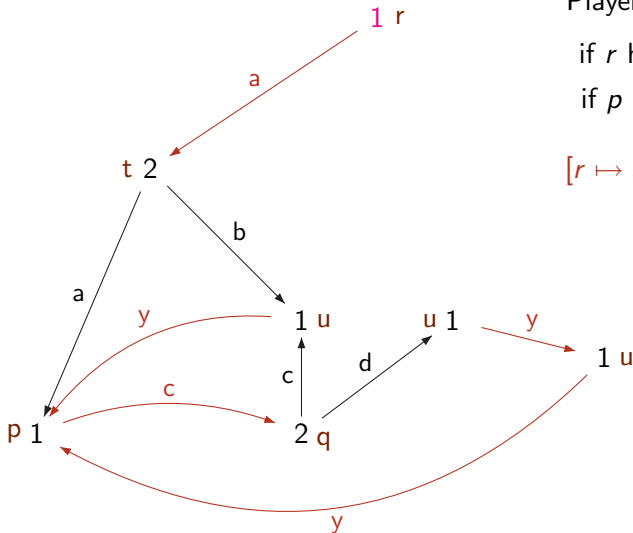
Properties of strategies

Player 1 strategy:

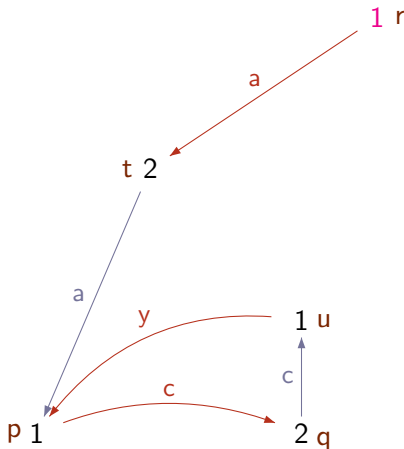
if r holds play a ,

if p holds play c

$$[r \mapsto a]^1 \cdot [p \mapsto c]^1$$



Properties of strategies



Player 1 strategy:

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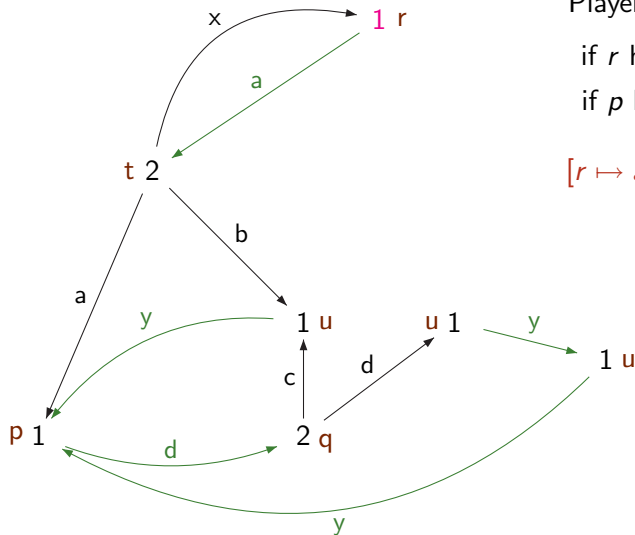
$$[r \mapsto a]^1 \cdot [p \mapsto c]^1$$

Response of player 2:

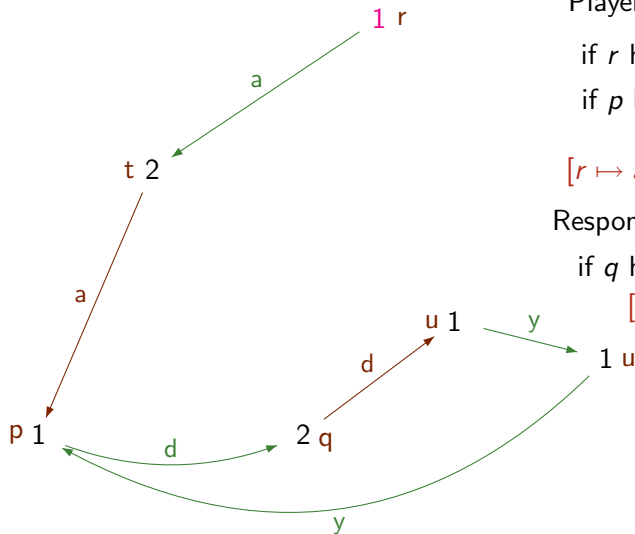
if q holds play c .

$$[q \mapsto c]^2$$

Properties of strategies



Properties of strategies



Player 1 strategy:

if r holds play a ,
if p holds play d

$$[r \mapsto a]^1 \cdot [p \mapsto d]^1$$

Response of player 2:

if q holds play d .

$$[q \mapsto d]^2$$

Properties of Strategies

- ▶ $([p \mapsto c]^1 \Rightarrow [q \mapsto c]^2) \cdot ([p \mapsto d]^1 \Rightarrow [q \mapsto d]^2)$
- ▶ If 1 plays $[p \mapsto c]$ then play $[q \mapsto c]$ and if 1 plays $[p \mapsto d]$ then play $[q \mapsto d]$.

Structured strategy specification

- ▶ Syntax: $[p \mapsto a]^1 \mid \sigma_1 + \sigma_2 \mid \sigma_1 \cdot \sigma_2 \mid \pi \Rightarrow \sigma$.
- ▶ Strategy conforming to a specification σ .

Game

We will consider finite and infinite plays.

- ▶ Players have an *exit* action.

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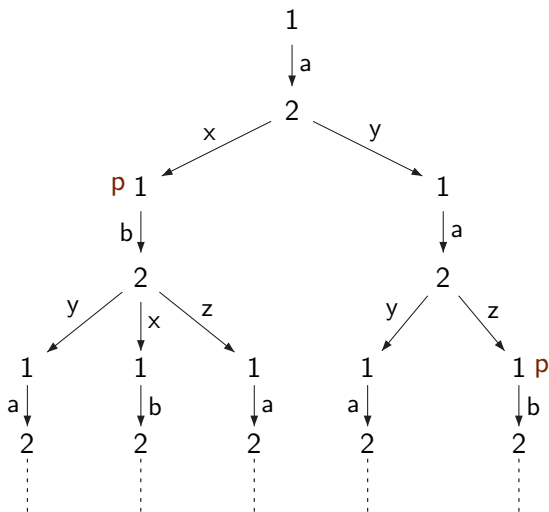
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A game $G = (\mathcal{G}, \{\preceq^i\}_{i \in \{1,2\}})$

- ▶ \mathcal{G} is the game arena.
- ▶ \preceq^i is the preference relation of player i over finite plays.

Strategy conforming to a specification

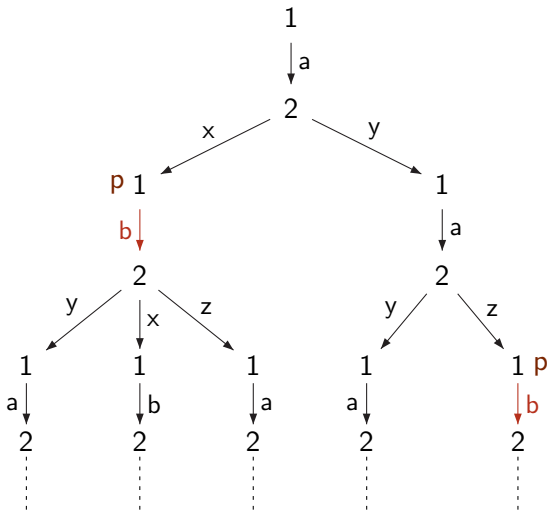
Player 1 strategy.



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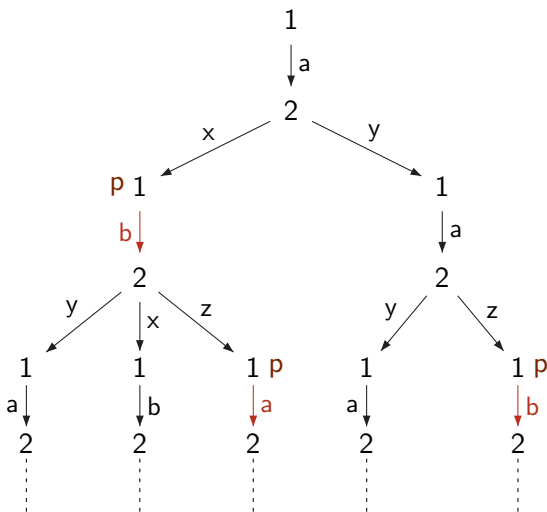
$$[p \mapsto b]^1$$



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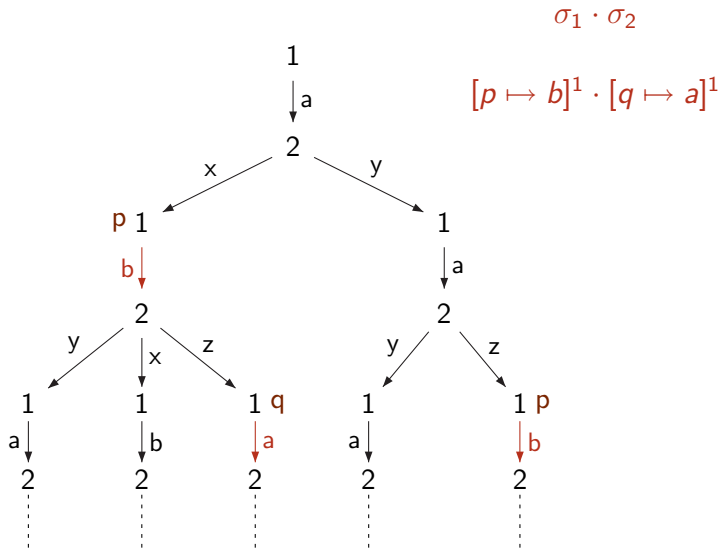
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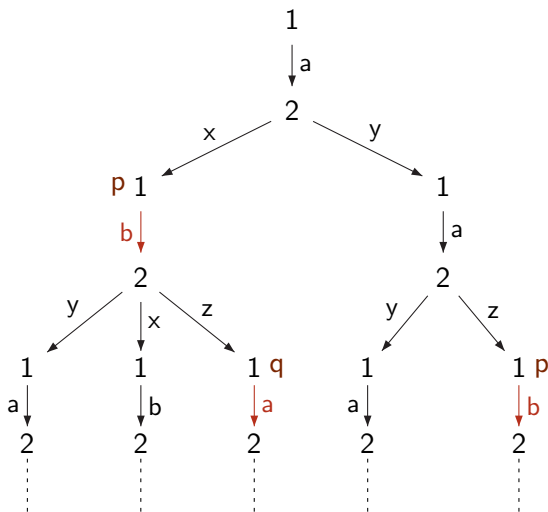
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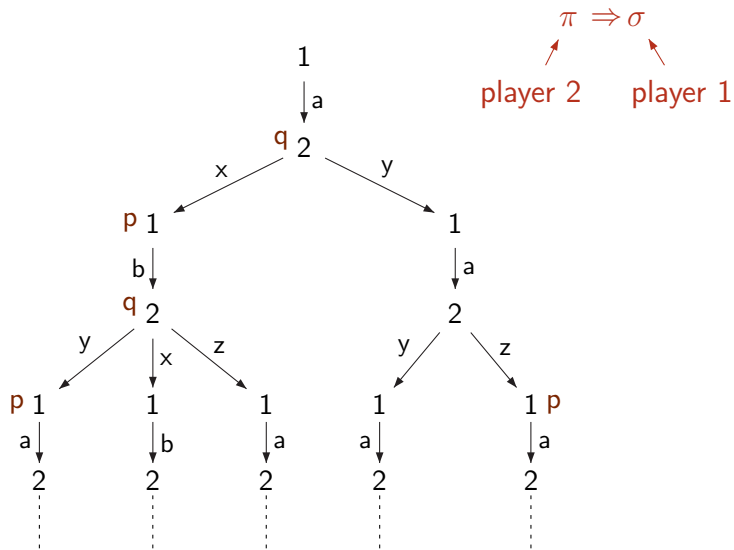
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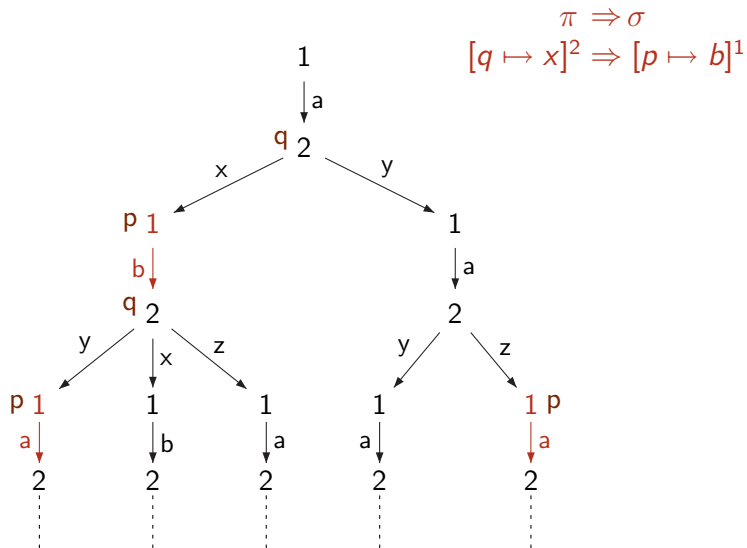
$$\sigma_1 + \sigma_2$$



Strategy conforming to a specification



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Strategy specification (revisited)

- ▶ Syntax: $[\psi \mapsto a]^i | \sigma_1 + \sigma_2 | \sigma_1 \cdot \sigma_2 | \pi \Rightarrow \sigma$.
- ▶ ψ - Past time formula of a simple tense logic.

Strategy comparison

Given σ , π and a condition C ,

- ▶ Does 1 have a strategy conforming to σ which ensures C , as long as 2 plays a strategy conforming to π ?

$\exists \sigma, \forall \pi : C$

- ▶ If 2's strategy conforms to π is it the case that every strategy of 1 which conforms to σ ensures C .

$\forall \sigma, \forall \pi : C$

Strategy comparison

Given σ , π and a condition C ,

- ▶ Does 1 have a strategy conforming to σ which ensures C , as long as 2 plays a strategy conforming to π ?

$$\exists \sigma, \forall \pi : C$$

- ▶ If 2's strategy conforms to π is it the case that every strategy of 1 which conforms to σ ensures C .

$$\forall \sigma, \forall \pi : C$$

σ is *better* than σ' against π :

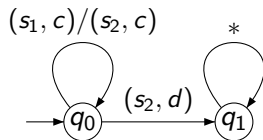
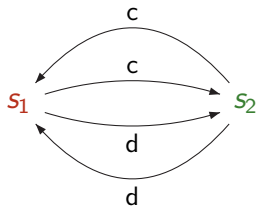
1. $\exists \sigma', \forall \pi : C \Rightarrow \exists \sigma, \forall \pi : C$
2. $\forall \sigma', \forall \pi : C \Rightarrow \forall \sigma, \forall \pi : C$
3. $\exists \sigma', \forall \pi : C \Rightarrow \forall \sigma, \forall \pi : C$

Advice Automaton

Advice Automaton $\mathcal{A} = (Q, \delta, o, I)$

- ▶ Q - set of states.
- ▶ $\delta : Q \times \textit{positions} \times \textit{actions} \rightarrow 2^Q$.
- ▶ I - set of initial states.
- ▶ $o : Q \times \textit{positions} \rightarrow \textit{actions}$.

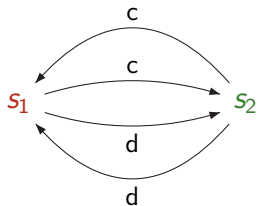
Example



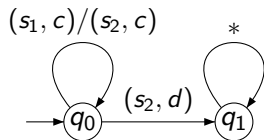
$$o(q_0, s_1) = c$$

$$o(q_1, s_1) = d$$

Example



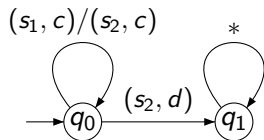
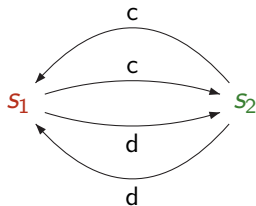
s_1 q_0



$$o(q_0, s_1) = c$$

$$o(q_1, s_1) = d$$

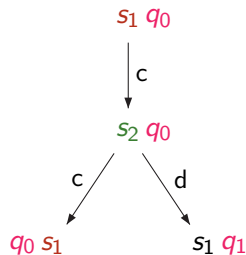
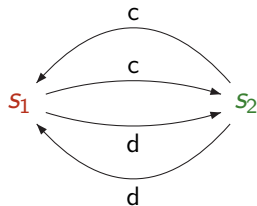
Example



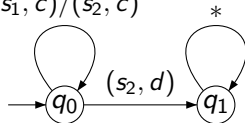
$$o(q_0, s_1) = c$$

$$o(q_1, s_1) = d$$

Example



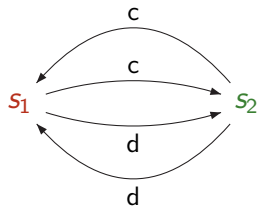
$(s_1, c)/(s_2, c)$



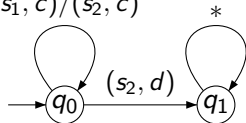
$$o(q_0, s_1) = c$$

$$o(q_1, s_1) = d$$

Example

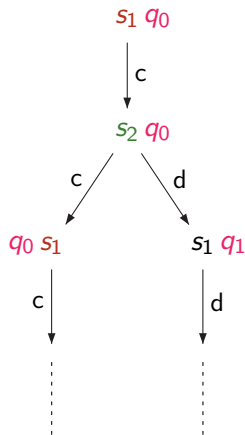


$(s_1, c) / (s_2, c)$



$$o(q_0, s_1) = c$$

$$o(q_1, s_1) = d$$



Evaluation Automaton

The preference relation on finite plays for each player is presented as an *evaluation* automaton:

$$\mathcal{E} = (U, \Delta, I, F, \{\preceq^i\}_{i \in \{1,2\}}).$$

- ▶ U - set of states.
- ▶ $\Delta : Q \times \text{positions} \times \text{actions} \rightarrow 2^Q$.
 - ▶ $\forall q, s, a, \Delta(q, s, a) \in F$ iff $a = \text{exit}$.
- ▶ I - set of initial states.
- ▶ F - set of final states.
- ▶ $\preceq^i \subseteq (F \times F)$ is the preference relation for player i over F .

Evaluation Automaton

Win-loss objectives for player i can be modelled easily.

$$\mathcal{E} = (U, \Delta, I, F, \{\preceq^i\}_{i \in \{1,2\}})$$

- ▶ $F = \{f_0, f_1\}$.
- ▶ $f_0 \preceq^i f_1$.
- ▶ All plays ending in state f_1 , are taken to be winning for i .

Verification question

Given an arena \mathcal{G} and a deterministic “win-loss” evaluation automaton \mathcal{E} ,

- ▶ Check if $\exists \sigma, \forall \pi : \mathcal{E}$ holds.
- ▶ Check if $\forall \sigma, \forall \pi : \mathcal{E}$ holds.

Advice automaton lemma: Given a strategy specification σ , we can construct an advice automaton \mathcal{A}_σ such that $Lang(\mathcal{A}_\sigma)$ is the set of all strategies that conform to σ .

Verification question

Given an arena \mathcal{G} and a deterministic “win-loss” evaluation automaton \mathcal{E} , check if $\exists \sigma, \forall \pi : \mathcal{E}$ holds.

- ▶ $\sigma \rightarrow \mathcal{A}_\sigma, \pi \rightarrow \mathcal{A}_\pi$.
- ▶ Construct $\mathcal{G} \upharpoonright \mathcal{A}_\pi$.
- ▶ Construct a nondeterministic tree automaton \mathcal{T}
 - ▶ States - states of \mathcal{A}_σ .
 - ▶ Guesses a state for each player 1 game position.
 - ▶ Branches out on all player 2 game positions.
 - ▶ \mathcal{T} runs \mathcal{E} in parallel and checks if all paths are “winning” for player 1.

Verification question

Given an arena \mathcal{G} and a deterministic “win-loss” evaluation automaton \mathcal{E} , check if $\forall \sigma, \forall \pi : \mathcal{E}$ holds.

- ▶ $\sigma \rightarrow \mathcal{A}_\sigma, \pi \rightarrow \mathcal{A}_\pi$.
- ▶ Construct $(\mathcal{G} \upharpoonright \mathcal{A}_\pi) \upharpoonright \mathcal{A}_\sigma$.
- ▶ Check if all paths are “winning” for player 1 according to \mathcal{E} .

Best response verification

Given \mathcal{G} , a deterministic evaluation automaton \mathcal{E} , σ and π , check if σ is the best response to π .

- ▶ Enumerate final states of \mathcal{E} according to 1's preference ordering.
- ▶ Use \mathcal{T} to find the “best” final state f_j which 1 can ensure.
- ▶ Construct \mathcal{E}_j with f_j being the most preferred final state for player 1.
- ▶ Check if $\exists \sigma, \forall \pi : \mathcal{E}_j$ holds.

Synthesis question

Synthesis question: Given \mathcal{G} , π and \mathcal{E} , synthesize a deterministic advice automaton \mathcal{A} such that $\mathcal{A}, \forall \pi : \mathcal{E}$ holds.

Synthesis

Proposition

Given a deterministic advice automaton \mathcal{A}_2 for player 2, we can synthesise a deterministic advice automaton \mathcal{A} such that $\mathcal{A}, \forall \pi : \mathcal{E}$ holds.

Proof Idea

- ▶ Consult \mathcal{A}_2 to pick player 2 moves.
- ▶ Guess player 1 moves.
- ▶ Check if the resulting path is winning for player 1 according to \mathcal{E} .

Synthesis

Synthesis question: Given \mathcal{G} , π and \mathcal{E} , synthesize a deterministic advice automaton \mathcal{A} such that $\mathcal{A}, \forall \pi : \mathcal{E}$ holds.

- ▶ \mathcal{A}_π is nondeterministic.
- ▶ States of \mathcal{A} will be subsets of the states of \mathcal{A}_π .

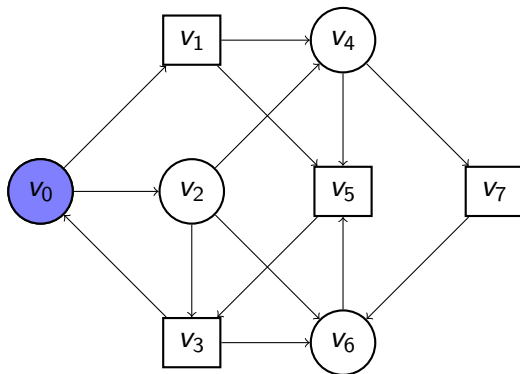
Infinite plays

Arenas and winning conditions

- ▶ **Game** $\mathcal{G} = (\mathcal{G}, \text{Win})$, where
 - ▶ **Arena** $\mathcal{G} = (V, E)$ such that $V = V_0 \cup V_1$ where
 - ▶ V_0 are player 0 vertices, denoted by \bigcirc and
 - ▶ V_1 are player 1 vertices, denoted by \square
 - ▶ $E \subseteq V \times V$ is the edge relation
 - ▶ $\text{Win} \subseteq V^\omega$ is the **winning condition**
 - ▶ $vE = \{u \mid (v, u) \in E\}$ are the **neighbours** of v
- ▶ (\mathcal{G}, v_0) is an **initialised game** where $v_0 \in V$ is a designated vertex
- ▶ We assume $vE \neq \emptyset$ for every $v \in V$

Infinite plays[2]

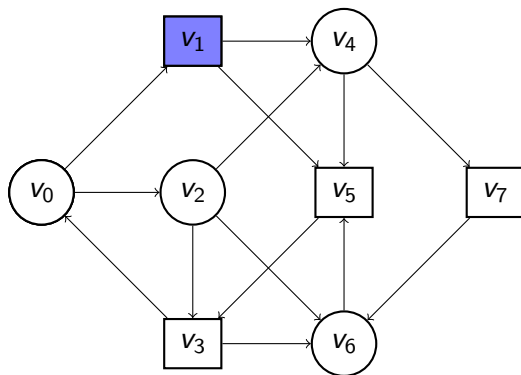
Plays



$$\rho = v_0$$

Infinite plays[2]

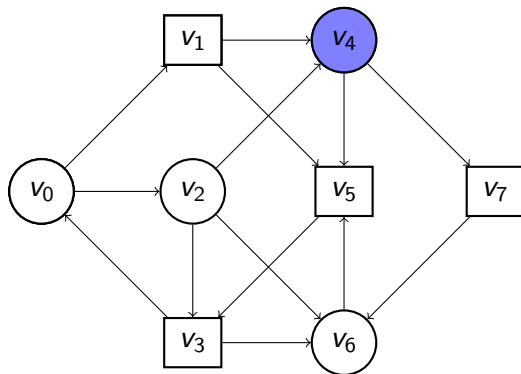
Plays



$$\rho = v_0 v_1$$

Infinite plays[2]

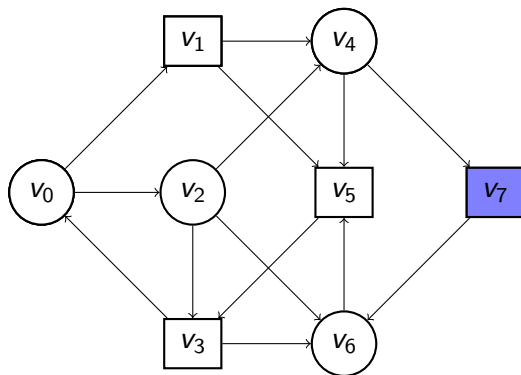
Plays



$$\rho = v_0 \ v_1 \ v_4$$

Infinite plays[2]

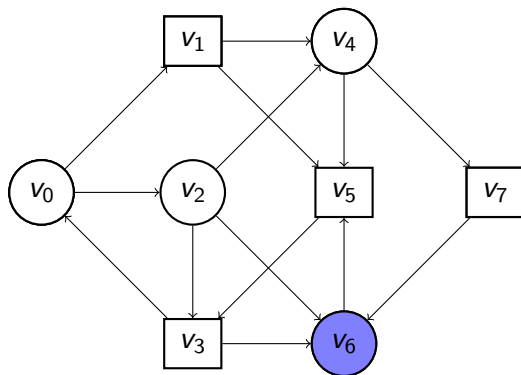
Plays



$$\rho = v_0 \ v_1 \ v_4 \ v_7$$

Infinite plays[2]

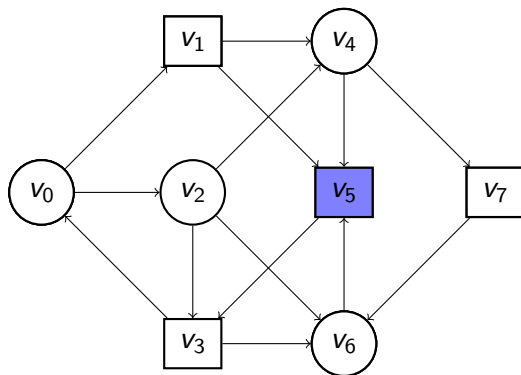
Plays



$$\rho = v_0 \ v_1 \ v_4 \ v_7 \ v_6$$

Infinite plays[2]

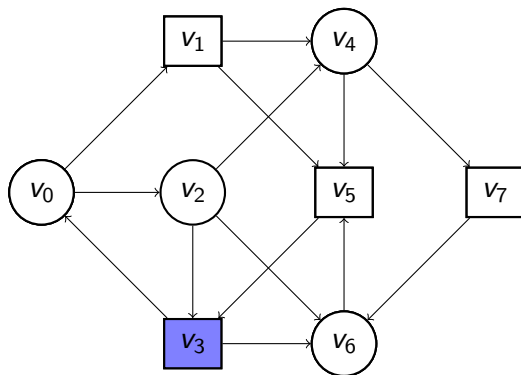
Plays



$$\rho = v_0 \ v_1 \ v_4 \ v_7 \ v_6 \ v_5$$

Infinite plays[2]

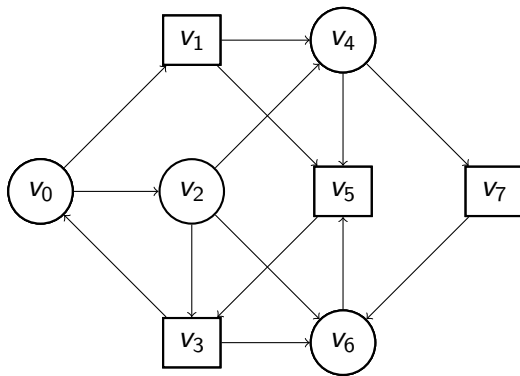
Plays



$$\rho = v_0 \ v_1 \ v_4 \ v_7 \ v_6 \ v_5 \ v_3$$

Infinite plays[2]

Plays



$$\rho = v_0 \ v_1 \ v_4 \ v_7 \ v_6 \ v_5 \ v_3 \cdots \in V^\omega$$

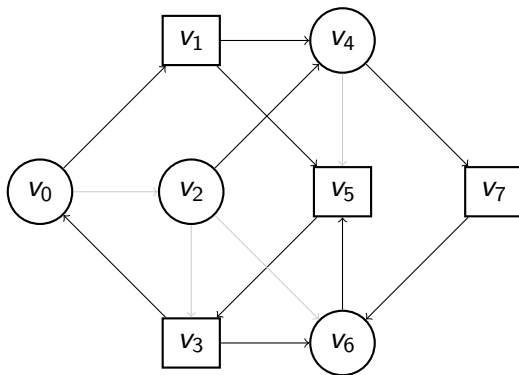
Strategies

Strategies

- ▶ A **history** ρ is any finite prefix of a play ρ
- ▶ A **strategy** $Strat$ for player p , $p \in \{0, 1\}$ is a function $Strat : V^* V_p \rightarrow V$ from the set of histories to vertices such that $Strat(\rho v) \in vE$ for all ρ
- ▶ A strategy $Strat$ is called **finite memory** or **bounded memory** or **forgetful** if it can be represented by a finite state machine
- ▶ A strategy $Strat$ for player p is **memoryless** or **positional** if it does not depend on the history. That is $Strat : V_p \rightarrow V$

Memoryless strategies

Memoryless strategy as a subgraph



Determinacy

Zero-sum or win-lose games and determinacy

- ▶ A play ρ is **winning** for player 0 and **losing** for player 1 if $\rho \in \text{Win}$. Otherwise it is winning for player 1 and losing for player 0
- ▶ A strategy Strat of player 0 is **winning** if and only if all plays played according to Strat are winning for her
- ▶ The **winning region** of player p , W_p is a subset of V such that for every vertex $v \in W_p$, player p has a winning strategy for the game (\mathcal{G}, v)
- ▶ A game \mathcal{G} is **determined** if $W_0 \cup W_1 = V$ and $W_0 \cap W_1 = \emptyset$

Theorem (Martin '75)

Every game where Win is a Borel set is determined

Muller condition

Muller games

- ▶ For a play ρ , $\text{inf}(\rho)$ denotes the set of vertices occurring infinitely often in ρ
- ▶ The winning condition of a Muller game is specified by a family of subsets of vertices $\mathcal{F} \subseteq 2^V$
- ▶ A play $\rho \in \text{Win}$ if and only if $\text{inf}(\rho) \in \mathcal{F}$

Theorem (Büchi and Landweber '69)

Muller games are bounded memory determined

Multiplayer Games

Binary or win-lose objectives

- ▶ $V = V_0 \cup V_1 \dots V_n$ and $Win = (Win_1, Win_2, \dots, Win_n)$
- ▶ Player i wins a play ρ if and only if $\rho \in Win_i$. Otherwise she loses
- ▶ $Win_i \cap Win_j$ may be non-empty for $i \neq j$

Nash equilibrium

Best-response and Nash equilibrium

- ▶ For a strategy tuple $\bar{\sigma} = (Strat_1, Strat_2, \dots, Strat_n)$, $\rho_{\bar{\sigma}}$ denotes a play consistent with $\bar{\sigma}$. $\bar{\sigma}_{-i}$ denotes the tuple $(Strat_1, \dots, Strat_{i-1}, Strat_{i+1}, \dots, Strat_n)$ and for a player i strategy $Strat'_i$, $(\bar{\sigma}_{-i}, Strat'_i)$ denotes the tuple $(Strat_1, \dots, Strat_{i-1}, Strat'_i, Strat_{i+1}, \dots, Strat_n)$
- ▶ A strategy $Strat_i$ of player i is said to be a **best response** to $\bar{\sigma}_{-i}$ if for all player i strategies $Strat'_i$,
 $\rho(\bar{\sigma}_{-i}, Strat'_i) \in Win_i$ implies $\rho_{\bar{\sigma}} \in Win_i$
- ▶ A strategy tuple $\bar{\sigma}$ is a **Nash equilibrium** if for all i , $Strat_i$ is the best response to $\bar{\sigma}_{-i}$.

Sequentiality

Subgame perfection

- ▶ Let ρ be a finite path in the arena. Given a strategy $Strat_i$ of player i , the strategy $Strat_i[\rho]$ is defined to be a function: $Strat_i[\rho] : \rho V^* V_i \rightarrow V$ such that $Strat_i[\rho](\rho') = Strat_i(\rho\rho')$. Let $\bar{\sigma}[\rho]$ denote the tuple $(Strat_1[\rho], \dots, Strat_n[\rho])$. A strategy tuple $\bar{\sigma}$ in the game (\mathcal{G}, v_0) is said to be a **subgame perfect equilibrium** if for every vertex v in \mathcal{G} and for every path ρ from v_0 to v in \mathcal{G} , $\bar{\sigma}[\rho]$ is a Nash equilibrium for the game (\mathcal{G}, v) .

Results

Existence of Nash and subgame perfect equilibria

Theorem (Chatterjee, Jurdzinski, Mazumdar '04)

Every multiplayer win-lose game with Borel winning conditions has a Nash equilibrium

Theorem (Ummels '05)

Every multiplayer win-lose Muller game has a subgame perfect equilibrium

Proof.

Use threat strategies



Threat and punishment

Threat strategies

- ▶ Let (\mathcal{G}, v_0) be a multiplayer game where the winning sets Win_i are Borel
- ▶ For each player i define the game (\mathcal{G}_i, v_0) in which player i plays against the coalition of all the other players and her winning set is Win_i whereas that of the coalition is $V^\omega \setminus Win_i$
- ▶ By Martin's theorem \mathcal{G}_i is determined and player i and the coalition have optimal strategies $Strat_i$ and $Strat_{-i}$ respectively
- ▶ At Nash equilibrium:
 - ▶ Every player i plays strategy $Strat_i$ till no one deviates
 - ▶ As soon as player j deviates all the other players switch to their respective strategies induced by $Strat_{-j}$

Overlapping objectives

Generalised objectives

- ▶ Not win-lose
- ▶ Each player has a preference over the various plays
- ▶ **Generalised Muller games**: each player i has a (total) preference over the various Muller sets which can be described by a utility function $u_i : \mathcal{F} \rightarrow \mathbb{N}$. For a play ρ player i 's utility is $u_i(\inf(\rho))$

Does a Nash equilibrium always exist in a generalised Muller game? If so, is it subgame perfect?

Generalised Muller Games

Existence of Nash equilibrium

- ▶ Threat strategies can be used to show that a Nash equilibrium always exists in a generalised Muller game
- ▶ Is threat a viable strategy?
- ▶ Problems arise in the finite case itself
- ▶ In the infinite case, players have to play punishing moves
forever

	L	R
U	(2,2)	(0,3)
D	(2,0)	(0,-1000)

Generalised Muller Games[2]

We show

- ▶ Existence of Nash equilibrium in generalised Muller games by using a backward induction procedure
- ▶ Subgame perfect equilibrium may not exist for such games in general
- ▶ Our procedure finds a subgame perfect equilibrium when it exists

Generalised Muller Games[3]

The **Latest Appearance Record** (LAR) data structure
(Gurevich, Harrington'82)

- ▶ The set of LARs

$$L = \{x \in (V \cup \{\#\})^{|V|+1} \mid |x|_{\#} = 1 \text{ and } |x|_v = 1, \forall v \in V\}$$

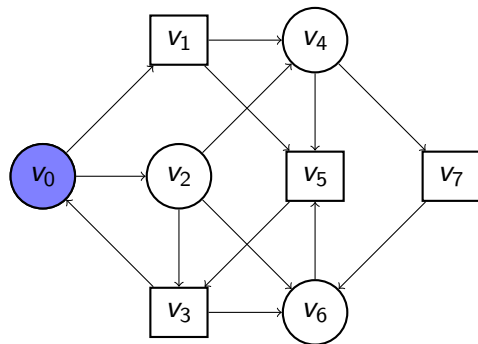
- ▶ $\langle N \rangle : L \times V \rightarrow L$ as

$$\langle N \rangle(x\#y, v) = \begin{cases} x'\#x''yv & \text{iff } x\#y = x'vx''\#y \\ xy'\#y''v & \text{iff } x\#y = x\#y'vy'' \\ x\#y & \text{iff } x\#y = x\#y'v \end{cases}$$

- ▶ Let \prec be a total order on V . For a finite play $\rho = v_0v_1 \dots v_k$ define $\text{LAR}(\rho)$ inductively as:
 - ▶ $\text{LAR}(v_0) = x\#v_0$ where x is ordered according to \prec
 - ▶ $\text{LAR}(v_0 \dots v_i) = \langle N \rangle(\text{LAR}(v_0 \dots v_{i-1}), v_i)$, $i \geq 1$

Generalised Muller Games[4]

Example

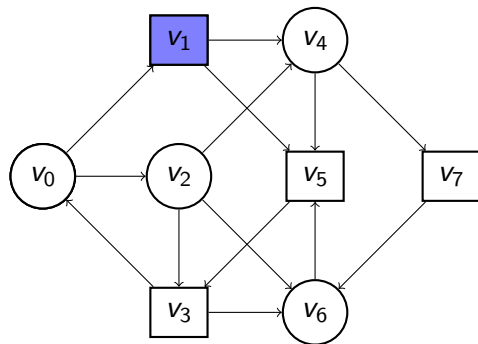


$$\rho = v_0$$

$v_1 v_2 v_3 v_4 v_5 v_6 v_7 \# v_0$

Generalised Muller Games[4]

Example

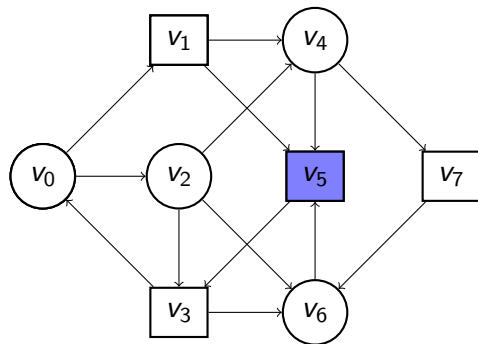


$$\rho = v_0 v_1$$

$v_1 v_2 v_3 v_4 v_5 v_6 v_7 \# v_0$
 $\# v_2 v_3 v_4 v_5 v_6 v_7 v_0 v_1$

Generalised Muller Games[4]

Example

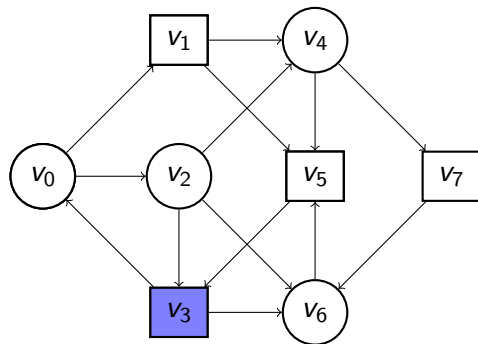


$$\rho = v_0 \ v_1 \ v_5$$

$v_1 \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \# \ v_0$
 $\# \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \ v_0 \ v_1$
 $v_2 \ v_3 \ v_4 \# \ v_6 \ v_7 \ v_0 \ v_1 \ v_5$

Generalised Muller Games[4]

Example

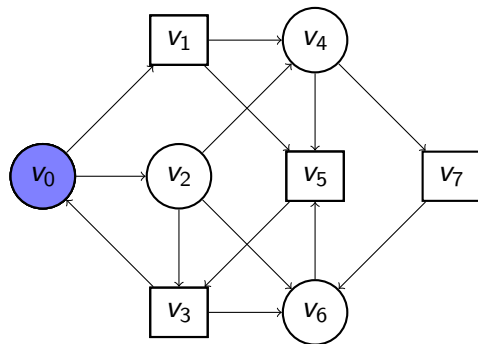


$$\rho = v_0 \ v_1 \ v_5 \ v_3$$

$v_1 \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \# \ v_0$
 $\# \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \ v_0 \ v_1$
 $v_2 \ v_3 \ v_4 \# \ v_6 \ v_7 \ v_0 \ v_1 \ v_5$
 $v_2 \# \ v_4 \ v_6 \ v_7 \ v_0 \ v_1 \ v_5 \ v_3$

Generalised Muller Games[4]

Example

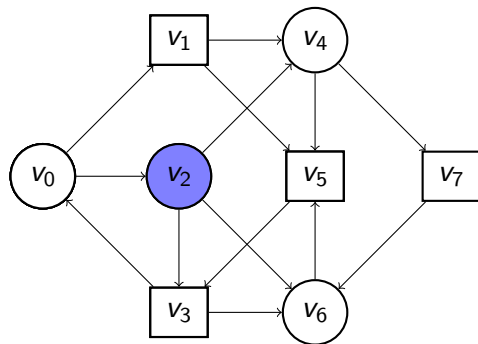


$$\rho = v_0 \ v_1 \ v_5 \ v_3 \ v_0$$

$v_1 \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \# \ v_0$
 $\# \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \ v_0 \ v_1$
 $v_2 \ v_3 \ v_4 \# \ v_6 \ v_7 \ v_0 \ v_1 \ v_5$
 $v_2 \# \ v_4 \ v_6 \ v_7 \ v_0 \ v_1 \ v_5 \ v_3$
 $v_2 \ v_4 \ v_6 \ v_7 \# \ v_1 \ v_5 \ v_3 \ v_0$

Generalised Muller Games[4]

Example

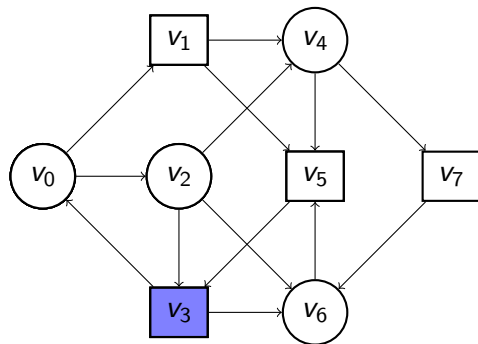


$$\rho = v_0 \ v_1 \ v_5 \ v_3 \ v_0 \ v_2$$

$v_1 \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \# \ v_0$
 $\# \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \ v_0 \ v_1$
 $v_2 \ v_3 \ v_4 \# \ v_6 \ v_7 \ v_0 \ v_1 \ v_5$
 $v_2 \# \ v_4 \ v_6 \ v_7 \ v_0 \ v_1 \ v_5 \ v_3$
 $v_2 \ v_4 \ v_6 \ v_7 \# \ v_1 \ v_5 \ v_3 \ v_0$
 $\# \ v_4 \ v_6 \ v_7 \ v_1 \ v_5 \ v_3 \ v_0 \ v_2$

Generalised Muller Games[4]

Example

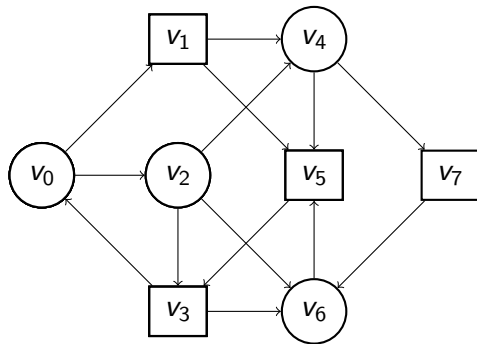


$$\rho = v_0 \ v_1 \ v_5 \ v_3 \ v_0 \ v_2 \ v_3$$

$v_1 \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \# \ v_0$
 $\# \ v_2 \ v_3 \ v_4 \ v_5 \ v_6 \ v_7 \ v_0 \ v_1$
 $v_2 \ v_3 \ v_4 \# \ v_6 \ v_7 \ v_0 \ v_1 \ v_5$
 $v_2 \# \ v_4 \ v_6 \ v_7 \ v_0 \ v_1 \ v_5 \ v_3$
 $v_2 \ v_4 \ v_6 \ v_7 \# \ v_1 \ v_5 \ v_3 \ v_0$
 $\# \ v_4 \ v_6 \ v_7 \ v_1 \ v_5 \ v_3 \ v_0 \ v_2$
 $v_4 \ v_6 \ v_7 \ v_1 \ v_5 \# \ v_0 \ v_2 \ v_3$

Generalised Muller Games[4]

Example

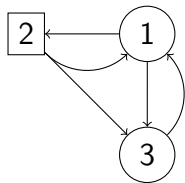


$$\rho = v_0 v_1 v_5 v_3 v_0 v_2 v_3 \dots \in V^\omega$$

$v_1 v_2 v_3 v_4 v_5 v_6 v_7 \# v_0$
 $\# v_2 v_3 v_4 v_5 v_6 v_7 v_0 v_1$
 $v_2 v_3 v_4 \# v_6 v_7 v_0 v_1 v_5$
 $v_2 \# v_4 v_6 v_7 v_0 v_1 v_5 v_3$
 $v_2 v_4 v_6 v_7 \# v_1 v_5 v_3 v_0$
 $\# v_4 v_6 v_7 v_1 v_5 v_3 v_0 v_2$
 $v_4 v_6 v_7 v_1 v_5 \# v_0 v_2 v_3$
 \vdots

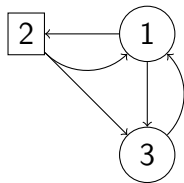
Generalised Muller Games[5]

The LAR tree



Generalised Muller Games[5]

The LAR tree



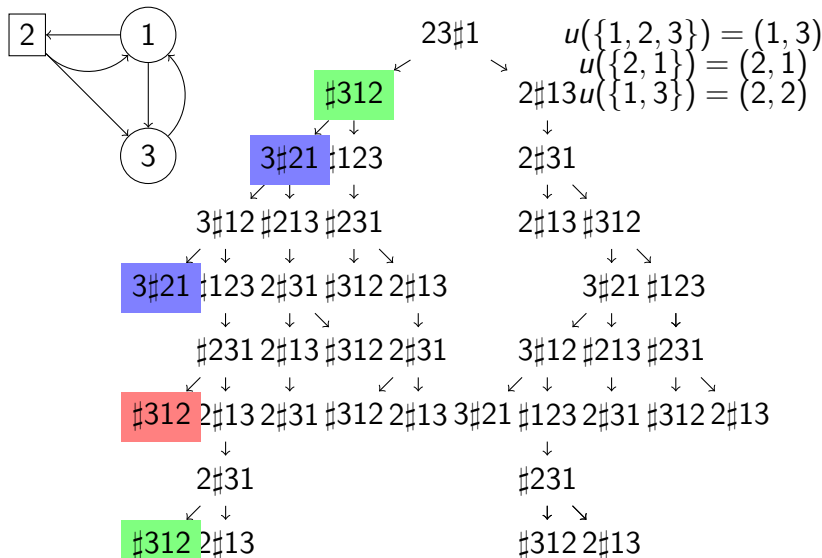
$$u(\{1, 2, 3\}) = (1, 3)$$

$$u(\{2, 1\}) = (2, 1)$$

$$u(\{1, 3\}) = (2, 2)$$

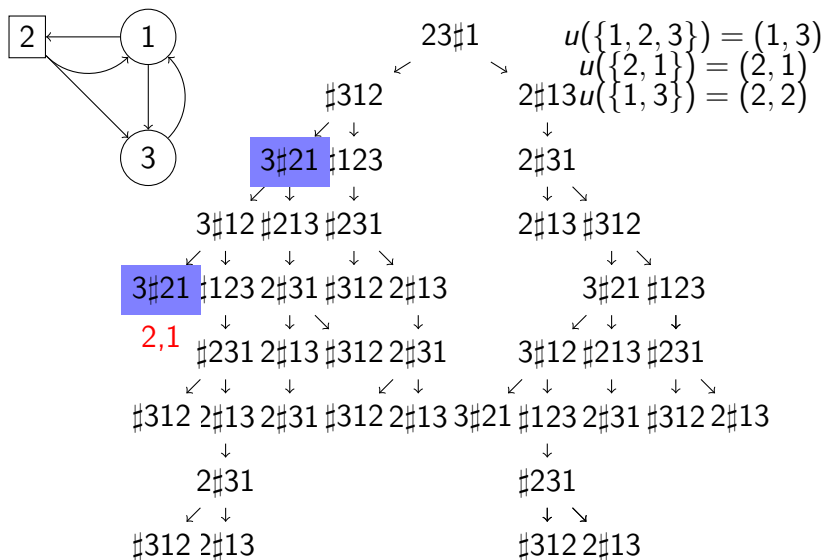
Generalised Muller Games[5]

The LAR tree



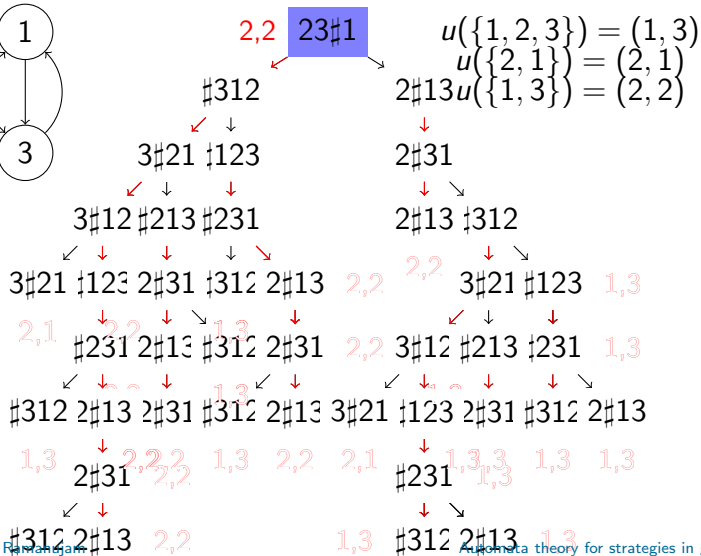
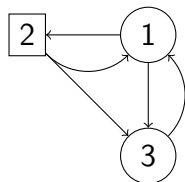
Generalised Muller Games[5]

The LAR tree



Generalised Muller Games[5]

The LAR tree



Generalised Muller Games[6]

Memoryless strategy μ of player to bounded memory strategy $Strat$ of player i

- ▶ The memory M of $Strat$ is the set L and the initial memory m_i is the root of the LAR tree
- ▶ The memory update function $g : V \times M \rightarrow M$ is
$$g(v, x\#y) = \langle N \rangle(x\#y, v)$$
- ▶ The output function $h : V_i \times M \rightarrow V$ is
$$h(v, x\#y) = \mu(x\#y)$$

Generalised Muller Games[7]

Lemma

The bounded memory strategy tuple $\bar{\sigma}$ corresponding to the memoryless strategy tuple $\bar{\mu}$ derived from backward induction on the LAR tree is a Nash equilibrium

Proof.

A player i has an incentive to deviate from μ_i on the LAR tree if and only if she has an incentive to deviate from $Strat_i$ in the arena □

Theorem

Every finite generalised Muller game has a Nash equilibrium in bounded memory strategies

Subgame Perfection

Theorem

In a finite multiplayer win-lose Muller game, the bounded memory strategy tuple $\bar{\sigma}$ corresponding to the memoryless strategy tuple $\bar{\mu}$ derived from backward induction on the LAR tree is subgame perfect

Proof.

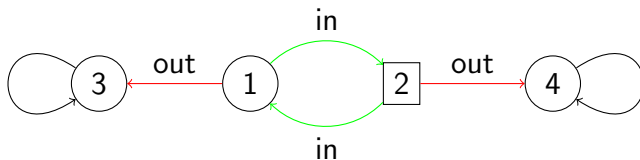
After every finite path ρ , a player i has an incentive to deviate from $Strat_i[\rho]$ in the arena if and only if she has an incentive to deviate from $\mu_i[LAR(\rho)]$ on the LAR tree □

Corollary (Ummels '05)

Every finite multiplayer win-lose Muller game has a subgame perfect equilibrium

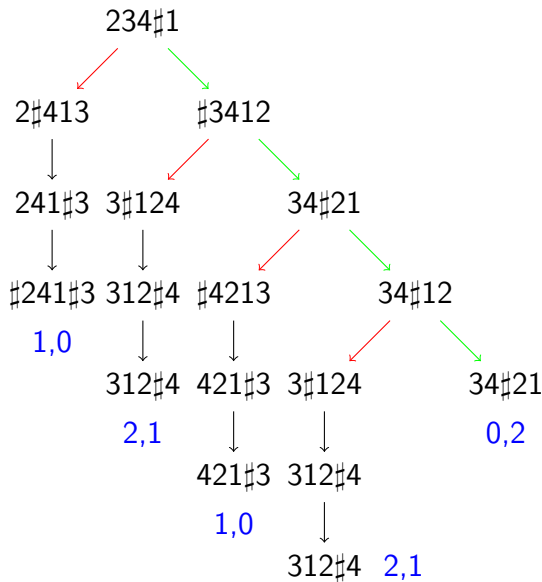
Subgame Perfection[2]

What about generalised Muller games?

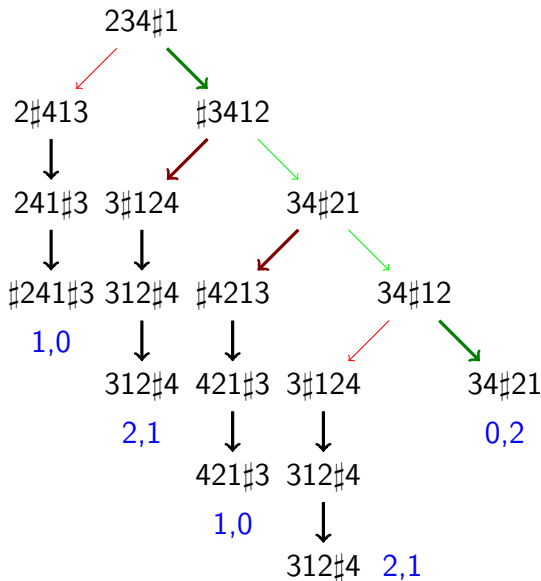


$$\begin{aligned}u(\{3\}) &= (1, 0) \\u(\{1, 2\}) &= (0, 2) \\u_1(\{4\}) &= (2, 1)\end{aligned}$$

Subgame Perfection[3]

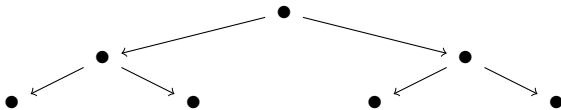


Subgame Perfection[3]



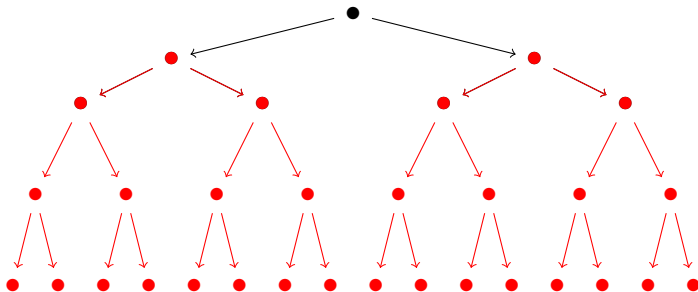
Subgame Perfection[4]

Explore the LAR tree further



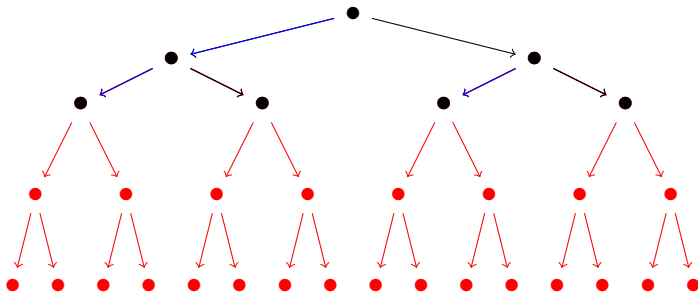
Subgame Perfection[4]

Explore the LAR tree further



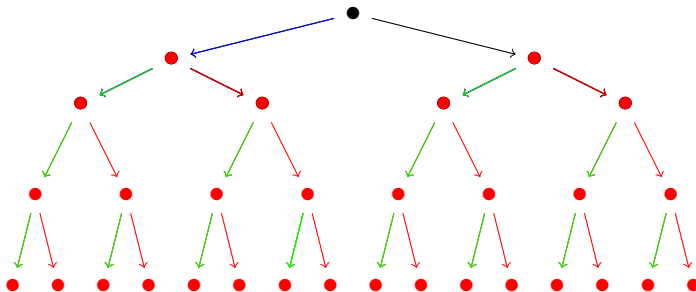
Subgame Perfection[4]

Explore the LAR tree further



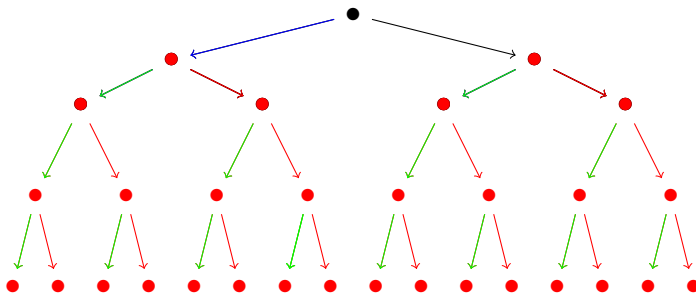
Subgame Perfection[4]

Explore the LAR tree further



Subgame Perfection[4]

Explore the LAR tree further



Theorem

It can be effectively decided whether a generalised Muller game has a subgame perfect equilibrium.