

Reference: Schomaker, L., Hoenkamp, E. & Mayberry, M. (1998). Towards collaborative agents for automatic on-line handwriting recognition. Proceedings of the Third European Workshop on Handwriting Analysis and Recognition, 14-15 July, 1998, London: The Institution of Electrical Engineers, Digest Number 1998/440, (ISSN 0963-3308), pp. 13/1-13/6.

# **Towards collaborative agents for automatic on-line handwriting recognition**

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group at NICI :

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- On-line recognition approaches
- Comparison of forensic handwriting systems
- UNIPEN
- Multimodal speech and handwriting input
- Information Retrieval/Information Filtering
- Content-based image retrieval
- Hybrid (NN/AI) modeling

- Multi-level information integration
- Agents: old for new?
- A triple-agent system

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”context”

- Use of context: a panacea for limited bottom-up classification performance?
- It is difficult to realize efficient use of context:
  - in case of complex input  
(cf. OCR of newspaper page  
vs. OCR of mail envelope)
  - under dynamic and free input conditions  
(writing a letter on a pen computer)

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”context”

- What? (... are the relevant context bits: the ”frame” problem, Pylyshyn)
- How?
- No elegant solutions for multi-level information integration exist, as yet

## syntax

- Earlier experiments with NLP & on-line recognition: disappointing
- Parser for Dutch, using sentences from office context
- Batch architecture  
(strokes → characters → words → sentence)
  - use of context postponed until last word of sentence .
  - was slow!
  - written input may be syntactically incorrect
  - writers don't write job applications or love letters in this way

## syntax, continued

Needed: interactive approach  
(e.g., incremental parser)

- probabilistic language models

(works: but large corpus needed, many parameters)

- grammars

(concise & explicit: but may lack information)

How to make a system which is modular and dynamically configurable?

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## old wine in new bottles?

- **O.G. Selfridge (1958)**

Pandemonium: a paradigm for learning in mechanisation of thought processes. Proceedings of a Symposium Held at the National Physical Laboratory, pages 513–526, London, November 1958. HMSO.

- **Daemons**

- **Critics gallery**

- **Multiple experts**

- **Society of mind**



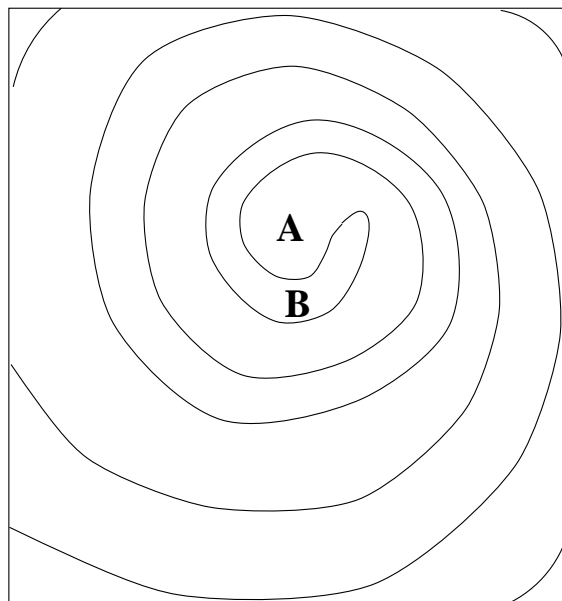
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## what's new?

- Good definitions (Wooldridge & Jennings)
- Game theory, negotiation algorithms
- Multi-sensor fusion algorithms
- Learning
  - genetic algorithms
  - case-based reasoning
- Formalisms: Knowledge Interchange Format (KIF), Knowledge Query and Manipulation Language (KQML)
- Try: <http://ontolingua.nici.kun.nl>

Potential for pattern recognition:

- Realisation of complex decision boundaries
- again: the double spiral argument



- Solve geometrically, e.g., with a MLP?  
→ Overfit!
- Solve algorithmically, by search?  
→ More powerful!

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experiment:

design a system

- simple
- interactive (user is present & time is real)
- using bottom-up and top-down information
- using agent architecture

→ in order to see what the use of syntactic information may yield under simplified conditions

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design issues

- no natural language input but Scheme program input on a pen computer
- interactive:
  - no machine font substitution, leave ink 'as is'
  - use color for state feedback
  - give user full control, using virtual buttons, menus etc.
- bottom-up: Kohonen LVQ classifier of unistrokes
- top-down: Scheme parser (LR, incremental)

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Scheme code example (towers of Hanoi)

hanoi.scm

```
(define ringlist
  (lambda (l n)
    (define mring
      (lambda (size)
        (cons 'ring size)))
    (if (= n 0)
        1
        (ringlist (cons (mring n) l) (- n 1)))))
```

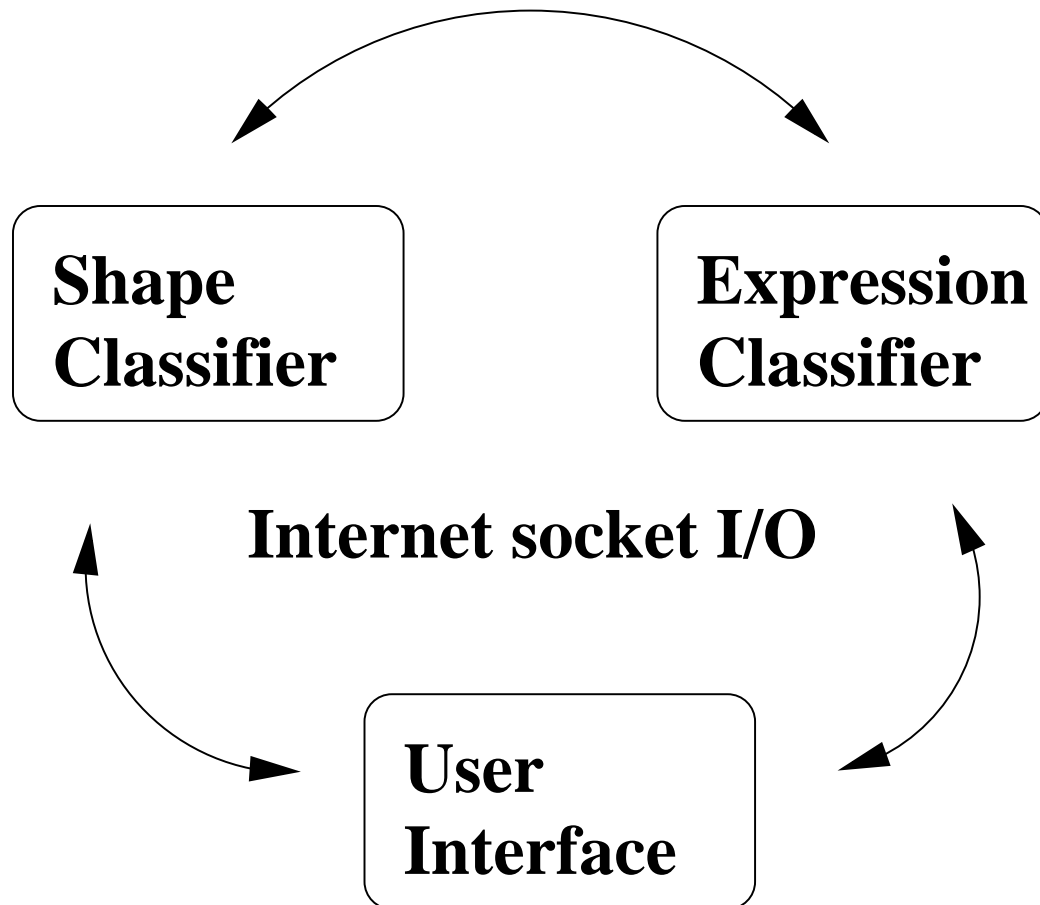
```
(define mpole
  (lambda (ndisks)
    (cons 'pole (ringlist nil ndisks))))
```

```
(define disks
  (lambda (pole)
    (cdr pole)))
```

.  
.
.  
.

**Agents:**

1. shape classifier
2. expression classifier
3. user+user interface



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**Shape Classifier agent**

- **Input:** tokens of the Scheme language, written as unistrokes
- unistrokes, resampled to 60 samples
- Kohonen LVQ, nearest centroid match
- translate to  $\vec{\mu} = (0, 0)$
- normalize rms radius to  $\sigma_r = 1$
- **feature vector:**
  - $(x_k, y_k)$  **60** normalized coordinates
  - $(\cos(\phi_k), \sin(\phi_k))$  **59** pairs
  - **total 119x2=238**
- **training, 5-10** samples of a token
- learning rule  $f_j = \eta x_j + (1 - \eta) f_{j-1}$
- token recognition rate  $\approx 85\%$

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**Shape Classifier agent (pseudo code)**

Init:

```
init-communication
read-table-with-token-templates
ask-parser-for-type-of-each-token
```

```
while(true) {
  switch (read-request()) {
  case unistroke
    classify unistroke
    query-parser
    combine-parser-expectancy-and-shape-classification
    notify-user-agent

  case train
    update-token-shape-and-label
    notify-user-agent

    .
    .
  }
}
```



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**Expression Classifier for Scheme**

- context-free grammar
- LR parser: incremental, no look ahead
- use lex/yacc (shift/reduce)
- tokens:

,	/
(	=
)	and
*	begin
+	BOOLEAN
-	case
.	CHAR
cond	let
define	let*
delay	NUMBER
do	or
else	set!
if	STRING
lambda	VAR

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## Expression Classifier for Scheme

- **Example of rule:**

```
state 29
  Def :  LPAR DEFINE_VAR Expr RPAR
  Def :  LPAR DEFINE_LPAR VAR RPAR Body RPAR
  Def :  LPAR DEFINE_LPAR VAR DefFormals RPAR Body RPAR

  VAR  shift 55
  LPAR shift 56
  .   error
```

- **After each token: generate list of expected tokens and update state**
- **Requests to parser agent:**
  - Accept\_token**
  - Reset\_state**
  - Delete\_token**
  - Forward\_token**

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## Expression Classifier agent (pseudo code)

Init:

init-communication

read-grammar

while(true) {

switch (read-request()) {

case token

process-token

update-parser-state

return-expected-tokens

case reset

reset-parser-state

.

.

}

---

User Interface agent (pseudo code)

```
init-communication
```

```
start-parser
```

```
start-classifier
```

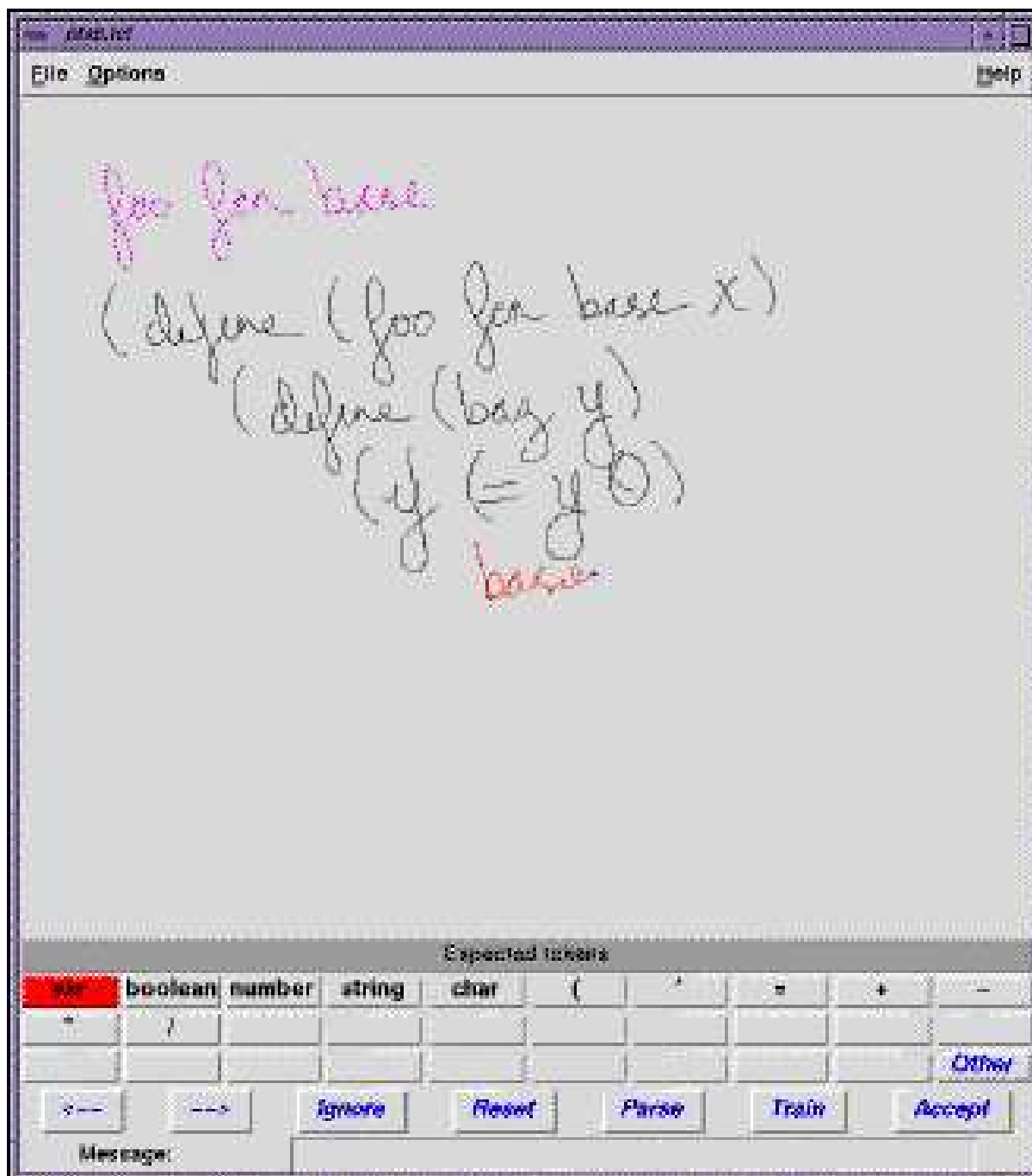
```
create-windows
```

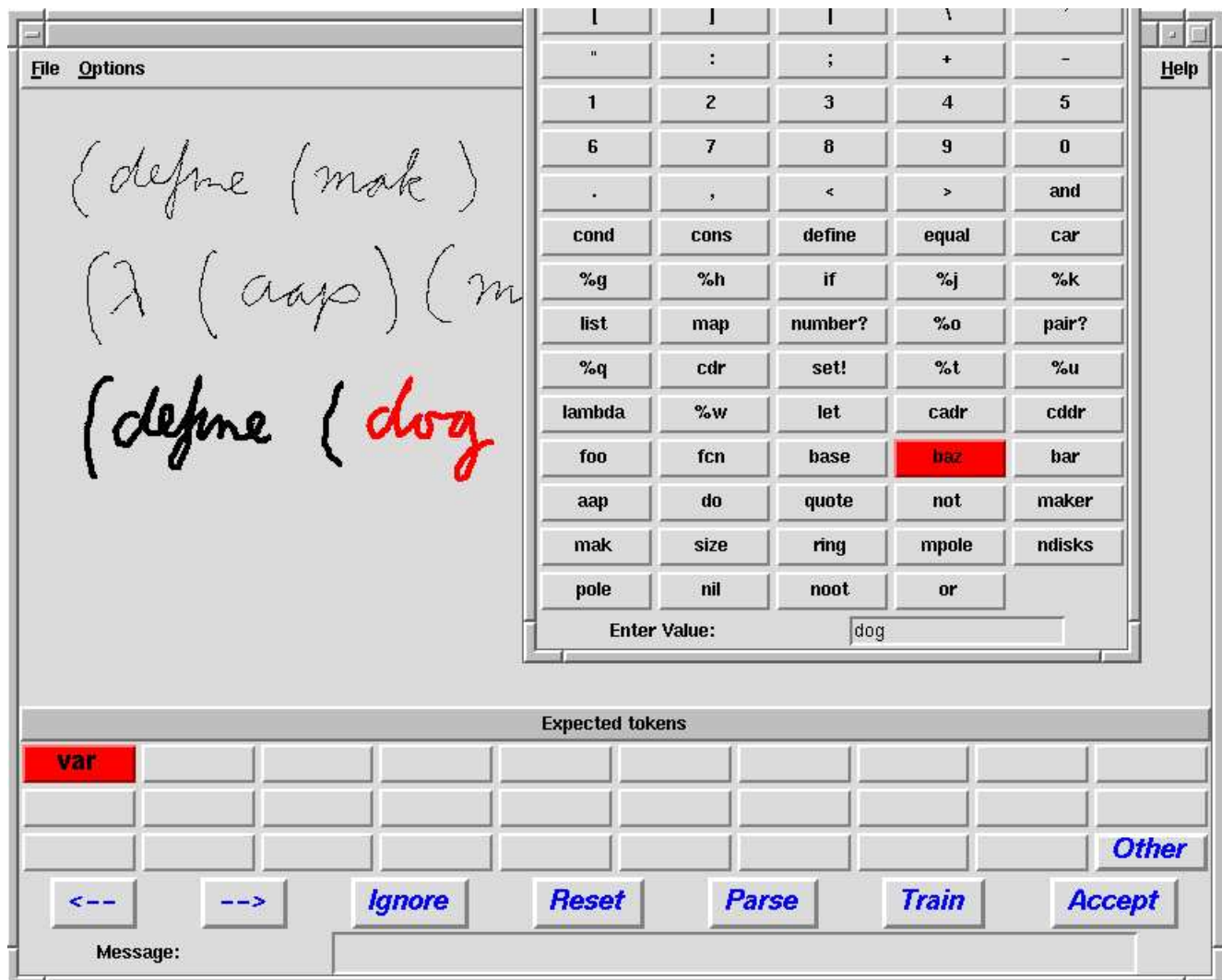
```
create-event->task-bindings
```

```
    (ink events, parser events, classifier events)
```

```
wait-for-events(forever)
```

# User Interface





- VAR expected
- token *dog* written
- token *dog* rejected → must be new token!

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results

good news:

- 100% 'recognition'
- users (Scheme programmers) like it!
- agent architecture is very convenient

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results

bad news:

- individual information contributions by the agents must be analysed and quantified
- VAR becomes a problem in case of unconstrained scope
- NUMBER and STRING are open categories



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results

## Information content of Scheme source code

<i>Symbols</i>	$N_{alphabet}$	${}^2\log(N_{alphabet})$	<i>Entropy</i>	<i>Redundance</i>
Raw token stream	2003	11.0	6.3	4.7
Lumped token stream	28	4.8	2.4	2.4

(Based on corpus of N=27310 tokens.

Lumped means: use placeholders instead of actual instances of VAR.)

Entropy:  $-\sum_{i=1}^{N_{alphabet}} p_i {}^2\log p_i$

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results

## Parser expectancy

<i>Symbols</i>	<i>Avg. <math>N_{alternatives}</math></i>
Raw token stream (VAR scope=whole corpus)	1891.5
Raw token stream (VAR scope=single function)	97.4
Lumped token stream	16.0

(Scheme source-code corpus of 27310 tokens.

Lumped means: use placeholders instead of actual instances of VAR.)

→ If scope is not limited to a single function, the parser adds very little information. Reasons: users' naming creativity and the presence of constants (string, number).

- User actions are definitely needed!
- But their work can be made easier by using syntactical context
- The virtues of a grammar:  
"Look Ma' - No probabilities!"
- Beware of placeholders (name slots) in the grammar
- Just a first step towards the use of a multiple-agent architecture